

Working Paper

Evaluation in the SDG era: lessons, challenges and opportunities for UNEG

Volume II, Annexes

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Annex 1, Terms of Reference

Towards evaluability of the 2015 SDGs: A review of evaluability literature and past evaluation experience to inform UNEG's contribution to Agenda 2030

TOR: (November 2015)

Background:

- 1. In September 2015, a new framework 'Transforming our World: The 2030 Agenda for Sustainable Development' will succeed the MDGs. The Agenda is expected to frame global sustainable development efforts for the next fifteen years to 2030 ('Agenda 2030').
- 2. The final section of the 2030 Agenda document commits to systematic follow-up and review of implementation to help countries maximize and track progress, promote accountability to citizens, support effective international cooperation and foster exchange of best practices and mutual learning. This section makes specific reference to processes which: identify achievements, challenges, gaps and critical success factors that support countries in making informed policy choices; promote coordination and effectiveness of the international development system; and which will be rigorous and based on evidence, informed by country-led evaluations. It recognizes the need for enhanced capacity-building support for developing countries, including the strengthening of national data systems and evaluation programs; and, indicates that these processes will benefit from the active support of the UN system and other multilateral institutions. In addition (para 88), the Agenda recognizes the importance of system-wide strategic planning, implementation and reporting in order to ensure coherent and integrated support by the UN development system.
- 3. Agenda 2030 is an ambitious framework for human, economic, social and sustainable development spanning 17 goals and 169 targets covering a complex multiplicity of actors, sectors and themes.
- 4. Realizing gender equality will be crucial to progress across all the goals and targets set out in the Agenda 2030 to be addressed in a cross-cutting way throughout the sustainable development agenda¹, in addition to a stand-alone goal.
- 5. A global indicator framework is to be developed by the Inter Agency and Expert Group on SDG Indicators, agreed by March 2016 for adoption thereafter by the Economic and Social Council and General Assembly; and will be complemented by indicators at regional and national levels to be developed by member states.
- 6. In parallel, 2015 is also the International Year of Evaluation, taking place in the context of the 2014 UN General Assembly resolution (A/RES/69/237) on national evaluation capacity development. The 2014 Resolution complements the 2012 Quadrennial Comprehensive Policy Review (QCPR), which urged stronger UN evaluation functions, evaluation alignment to agency Strategic Plans, and independent System-Wide Evaluation arrangements.
- 7. Notwithstanding the challenges inherent in assessing progress towards, and ultimately the results of Agenda 2030, the UN Evaluation Group (UNEG) is well placed to make constructive contributions, through its collective membership comprising

Comments of the Women's Major Group on the Zero Draft of the outcome document for the UN Summit to adopt the Post-2015 Development Agenda, June 2015.

evaluation offices of UN entities, its specific agency and system-wide orientation, and its partnerships with the global evaluation community.

- 8. The coincidence of the Year of Evaluation, and the launch of Agenda 2030 provides an opportunity for the international evaluation community including UNEG and partners at national, regional and global levels, policy makers and other development stakeholders, to begin to shape a global evaluation agenda for the SDG era. Specifically, there are opportunities to contribute by offering lessons from previous relevant evaluations at multi-country level and bringing an evaluation perspective to the on-going work to identify and agree indicators, monitoring and review arrangements for the SDGs.
- 9. Several UNEG members and groupings are already actively engaged with partners² in the consideration of, and advocacy for, the contribution of evaluation to Agenda 2030. To a certain extent, these strands are taking account of the (limited) recent experience of evaluating the MDGs, or elements thereof. Yet, the UNEG SO3 SDG working group considers such efforts could be enhanced by further consideration of the Agenda 2030 evaluability challenges and opportunities, and by structured reflection on lessons from recent MDG –related evaluation experience. Moreover, it is expected that in order to enable rigorous assessment of the UN development system's support and contributions to Agenda 2030, UN entities' evaluation functions will wish to reconsider their evaluation strategies, plans, approaches and methods.
- 10. In this regard, there is an absence of conceptualization, guidance and supporting analytical materials to steer evaluation's contribution to this complex agenda. While such materials cannot be expected to provide easy solutions, they may support optimal use of scarce evaluation resources and mitigate the risk of varying approaches, duplications and gaps in the global evaluative effort; and, help maximize evaluation's contribution to promoting accountability, exchange of best practices, and mutual learning for informed policy choices and effectiveness of the international development system.
- 11. **Purpose:** The international evaluation agenda for Agenda 2030 is vast, multifaceted, complex and long term. This TOR aims to make a modest contribution to support UNEG members in their future evaluation and evaluation-support efforts to partners, by providing:
 - An analysis of the evaluability challenges, opportunities and issues to consider in Agenda 2030, based on review of the evaluability literature, the 2030 Agenda documentation, stakeholder analysis, and on-going indicator development work;
 - II. A review of recent evaluation experiences and reports pertaining to MDG themes and/or selected country-level evaluations related to the MDGs.
 - III. Based on i. and ii. derive lessons to support UNEG's evaluative efforts in respect of Agenda 2030, and provide advice on what UNEG and its members should and might consider when a. developing future evaluation strategies, plans, approaches and methods; and, b. considering contributions to a shared global SDG evaluation agenda, including the potentials and risks of harmonized approaches.

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² Events throughout the Year of Evaluation aim to connect the SDGs agenda with a Global Evaluation Agenda, through a global multi-stakeholder consultative process launched in 2014, e.g.: Rome-based agencies on SDG2. Other processes include those underway through the UNEG Human Rights & Gender Working Group; Strategic Objective 4 together with EVAL Partners; UNDP with IOCE through the forthcoming National Evaluation Capacity Development conference.

12. The above analysis may also be expected to generate some reflections on the potential utility of evaluability assessments in such evaluations (e.g. links between evaluability assessment and evaluation quality/credibility).

13. Intended Use & Stakeholders:

- The UNEG Evaluation Week will be held in Geneva, April 2016, with the major theme: 'Evaluation and the SDGs'. The materials resulting from this TOR would be shared ahead of the meeting, and presented at the meeting.
- The direct stakeholders are the members of the UNEG SDG Working Group, and indirectly, UNEG's wider membership and partners.

14. Suggested Approach:

- Desk review of the SDG dialogue, Agenda 2030 associated frameworks, indicators, monitoring and data assessments as regards evaluability (design, data, demand);
- Review and summary of relevant evaluability literature;
- Research and review of recent academic and related work considering SDG evaluability (e.g. ODI, CGD, IDRC);
- Review of recent evaluations3 of relevant aspects of and contributions to, the MDG effort, including application of evaluability assessments, methods used, evaluation quality;
- Limited round of stakeholder discussions on mandates, capacities, plans and partnerships (e.g. RBA/SDG2; Eval Partner members)
- Analysis of the SDG evaluability issues emerging from the above, especially considered from the perspective of UN evaluation office mandates and UNEG's strategy in the light of the 2014 UN Resolution on Evaluation Capacity Development and Agenda 2030.

15. Deliverables:

- I. A report of maximum 30 pages excluding annexes;
- II. Associated presentation materials (PPT or similar suitable for web-based and direct seminar style)

16. Timeline and key stages

| Stage | Timeline | Main activities | Responsibility |
|----------------|--------------|--|-------------------|
| 1. Preparatory | Nov-Dec 2015 | Finalise TOR Contract consultant/s Identify & collect relevant documents/reports Selection of relevant evaluations case studies | SDG working group |

³ Country case selection to be guided by country case studies of relevant global MDG related evaluations.

| 2. | Desk review | Dec 2015- Jan 2016 | • | Prepare Inception Report (beginning of Jan) including analytical framework and methods, finalize universe of selected case studies; stakeholder analysis Task Team Feedback on Inception Report (mid-January) Review & analysis | SDG working group/task team Consultant |
|----|-------------------|-----------------------|---|---|---|
| 3. | Reporting | Feb-March 2015 | • | Prepare zero draft report (mid Feb) Present draft to SDG working group (end Feb) Review/comment on draft report (mid Mar) Prepare final report, considering comments made (beginning of Apr) | Consultant Consultant SDG working group Consultant |
| 4. | Disseminatio n | April 2016 | • | Prepare presentation materials (mid Apr) Report format/publication Present at UNEG Evaluation Week (end Apr) | Consultant Consultant UNEG Secretariat Consultant |

17. Profile of the consultant/team should combine presence of:

- i. A senior consultant with experience of:
 - Leading and/or managing complex strategic evaluations in the UN development and humanitarian contexts;
 - Strong understanding of the UN architecture, framing documentation and planning;
 - o Familiarity with UN and international evaluation networks;
 - Expertise in evaluation strategy and methodology; and
 - o Capacity for efficient bibliographical and document research.
- ii. A small reference group of experts may be engaged to provide peer review and exchange of ideas with the consultant.
- 18. **Budget:** The assignment is budgeted at \$30, 000 estimated. The consultant/team interested in applying for the assignment will develop their proposals according to this amount in first instance, in whatever combination of skillsets they prefer in order to deliver this TOR.

TABLE 1: PROPOSED EVALUATIONS FOR INCLUSION IN REVIEW ANALYSIS

| TITLE | ELECTRONIC LINK TO REPOIRT | CONTACT POINT |
|---|---|-------------------------------|
| Thematic Evaluation of Monitoring and Evaluation of the Millennium Development Goals (MDGs): Lessons Learned for the post-2015 Era | https://oios.un.org/page/download2/id/2 | Ellen Vinkey (OIOS) |
| Review of Policies and Practices to Promote Gender-Responsive Evaluation | | Shravanti Reddy (UN Women) |
| Monitoring GEEW and the empowerment of women and girls in the 2030 Agenda for Sustainable Development: Opportunities and Challenges | | Shahra Razawi (UN Women) |
| World Bank Report on MDGs | http://ieg.worldbank.org/evaluations/rap 2014 | |
| Thematic Evaluation on MDGs | http://web.undp.org/evaluation/evaluations/thematic-evaluations.shtml | UNDP |

Annex 2, Bibliography and Reference Documents

| Bibl/RD | Title | Author | Date |
|---------|---|---|------------------|
| Bibl | ADB's Support for Achieving the Millennium Development Goals | Asian Development Bank | May 2013 |
| RD | Evaluation of EvalPartners - The Global Movement to Strengthen National Evaluation Capacities | Attuned Research and Evaluation and Basi Consulting Group Inc. for EvalPartners | February 2015 |
| RD | Contribution Analysis, http://betterevaluation.org/plan/approach/contribution_analysis | Better Evaluation | 2016 |
| RD | Qualitative Comparative Analysis, http://betterevaluation.org/evaluation- options/qualitative_comparative_analysis | Better Evaluation | 2016 |
| RD | Addressing complexity, at http://betterevaluation.org/blog/addressing_complexity | Better Evaluation | 2016 |
| RD | The Paris Declaration Evaluation - Process and Methods | Betts, J; Wood, B., in The Canadian Journal of Program Evaluation Vol. 27 No. 3 Pages 69–102 | 2013 |
| RD | Our Common Future | Brundtland Commission | 1987 |
| Bibl | Qualitative Comparative Analysis: A Valuable Approach to Add to the Evaluator's Toolbox? Lessons from Recent Applications | Centre for Development Impact | January 2016 |
| RD | Qualitative Impact Protocol (QUIP) Guidelines for field use | Centre for Development Studies, University of Bath | 2016 |
| Bibl | Concept note: Enhancing the evaluability of Sustainable Development Goal 2 (SDG2): "End hunger, achieve food security and improved nutrition and promote sustainable agriculture" | CGIAR, FAO, IFAD and WFP | Oct 2015 |
| Bibl | What is QCA | Charles C. Ragin Department of Sociology and Department of Political Science University of Arizona | 2008 |
| Bibl | MDGs to SDGs: Have we lost the Plot? | Charles Kenny | May 2015 |
| RD | Delivering as One country-led evaluations, Synthesis report | Charlotte Hjertström | October 2011 |
| RD | Centers for Learning on Evaluation and Results, at: http://www.theclearinitiative.org/Clear_about.ht ml | CLEAR | 2016 |
| Bibl | Sustainability Indicator Checklist | Daniela Schroter, The Evaluation Center, Western Michigan University | 2010 |
| RD | The Evaluation of the Paris Declaration, Phase 2, final report | Danish Institute for International Studies. | May 2011 |
| RD | Towards integration at last? The sustainable development goals as a network of targets | David Le Blanc, DESA Working Paper No. 141 | March 2015 |
| Bibl | Patterns and influences in the supply and demand of evaluation and monitoring in Uganda's public sector over the past two decades, | David Rider Smith | Aug 2013 |

| Bibl/RD | Title | Author | Date |
|---------|--|--|----------------------------|
| Bibl | Outline structure for Terms of Reference for an Evaluability Assessment | DfID | 2014 |
| RD | Evaluation Policy and Strategy | ECLAC | April 2014 |
| Bibl | E/RES/2015/15 - Progress in the implementation of General Assembly resolution 67/226 on the quadrennial comprehensive policy review of operational activities for development of the United Nations system | ECOSOC | Septemb er 2015 |
| RD | ECOSOC Dialogue on the longer-term positioning of the UN development system in the context of the 2030 Agenda for Sustainable Development Second Phase, briefing session | ECOSOC | Decembe r 2015 |
| RD | Evaluation Connections, February 2016 | European Evaluation Association | February 2016 |
| RD | Statements from the Initial Meeting on the Global Follow-up and Review Framework | European Union; G77 plus China; Japan | 17 March 2016 |
| RD | Global Evaluation Agenda 2016-2020. EvalAgenda 2020 | EvalPartners | January 2016 |
| Bibl | National evaluation policies for sustainable and equitable development. How to integrate gender equality and social equity in national evaluation policies and systems. | EvalPartners | 2014 |
| Bibl | Enhancing the evaluability of Sustainable Development Goal 2 (SDG2): "End hunger, achieve food security and improved nutrition and promote sustainable agriculture" - Technical seminar, concept note | Evaluation offices of CGIAR, FAO, IFAD and WFP | Septemb er 2015 |
| RD | Enhancing the evaluability of Sustainable Development Goal 2 (SDG2): "End hunger, achieve food security and improved nutrition and promote sustainable agriculture" - Technical seminar, Rome, Italy, at: https://youtu.be/-JGdaIr3cpY | Evaluation offices of CGIAR, FAO, IFAD and WFP | 17-18 Novemb er 2015 |
| RD | Enhancing the evaluability of Sustainable Development Goal 2 (SDG2): "End hunger, achieve food security and improved nutrition and promote sustainable agriculture", Technical Seminar Proceedings | Evaluation offices of CGIAR, FAO, IFAD and WFP | January 2016 |
| Bibl | Enhancing the evaluability of Sustainable Development Goal 2 (SDG2): "End hunger, achieve food security and improved nutrition and promote sustainable agriculture", technical Seminar Theme Papers | Evaluation offices of CGIAR, FAO, IFAD and WFP | Novemb er 2015 |
| RD | Charter for the FAO Office of Evaluation | FAO | Apr 2010 |
| Bibl | Farmers' Major Group position | Farmers' Major Group | March 2014 |
| RD | Informe final Evaluacion del Marco de Asistencia de las Naciones Unidas para el Desarrollo Honduras 2012-2016 | Fernando Jambrina Rodriguez, UNCT, Panama | March 2015 |
| Bibl | Delivering Together on the SDGs: Harnessing the SOPS, presentation for Geneva seminar | Gerry Daly, UN-DOCO | Jun 2015 |

| Bibl/RD | Title | Author | Date |
|---------|---|--|---------------|
| RD | The GEF Monitoring and Evaluation Policy 2010 | Global Environment Facility (GEF) | Nov 2010 |
| Bibl | SDG Indicators and Data: Who collects? Who reports? Who benefits? | Global Policy Forum | Nov 2015 |
| RD | Charter for the Evaluation and Internal Audit Office | ICAO | n.a. |
| Bibl | Conceptual Framework for Developing Evaluation Capacities. Building on Good Practice in Evaluation and Capacity Development, by Caroline Heider | IDEAS, IEG | 2010 |
| RD | External review of the Independent Evaluation Group of the World Bank Group - report to CODE from the Independent Panel | IEG/WBG | June 2015 |
| Bibl | Results and Performance of the World Bank Group 2014, An independent Evaluation. Volume I-Main Report and Appendixes | IEG/WBG | Sep 2014 |
| Bibl | World Bank Group Results and Performance The World Bank Group and the MDG | IEG/WBG | June 2015 |
| RD | Results-based strategies 2011–15: Evaluation strategy – Strengthening the use of evaluations | ILO | feb-11 |
| Bibl | Annual report 2015, Deepening impact | Independent Evaluation Group (IEG)/World Bank Group | Oct 2015 |
| Bibl | A World that Counts.Mobilising the Data Revolution for Sustainable Development | Independent Expert Advisory Group on a Data Revolution for Sustainable Development | Nov 2014 |
| RD | Evaluation Manual | Independent Office of Evaluation of IFAD | 2015 |
| RD | Programme Evaluation Policy | International Atomic Energy Agency (IAEA) | Apr 2002 |
| RD | Revised IFAD Evaluation Policy | International Fund for Agricultural Development (IFAD) | mag-11 |
| Bibl | Independent Evaluation of the ILO's Decent Work Country Programmes, strategies and actions in the Caribbean (2010– 2015) | International Labour Organization – Evaluation Office | Oct 2013 |
| Bibl | RBM tools: Evaluability Assessment, Quality and Appraisal Mechanisms (TC projects, DWCPs) | International Labour Organization – Evaluation Office | n.a. |
| RD | Guidance Note 11. Using the Evaluability Assessment Tool | International Labour Organization – Evaluation Unit | Dec 2011 |
| RD | Guidance Note 12. Dimension of the Evaluability Instrument | International Labour Organization – Evaluation Unit | March 2012 |
| RD | Guidance tool: Monitoring and Evaluation Plan Appraisal Tool | International Labour Organization – Evaluation Unit | July 2013 |
| Bibl | All Evaluation Forms and Templates | International Labour Organization (ILO) | n.a. |
| Bibl | Annual Evaluation Report 2012–2013 | International Labour Organization (ILO) | Sep 2013 |
| RD | Monitoring and Evaluation - Appraisal tool for projects over US\$ five million | International Labour Organization (ILO) | March 2012 |

| Bibl/RD | Title | Author | Date |
|---------|---|--|-------------------|
| RD | EvalSDG. A Concept Paper | International Organization for Cooperation in Evaluation (IOCE)/ EvalPartners | n.a. |
| Bibl | IOM Evaluation Guidelines | International Organization for Migration (IOM) | gen-06 |
| RD | ITC Evaluation Policy | International Trade Centre (ITC) | June 2015 |
| Bibl | Who Counts? The Power of Participatory Statistics (http://www.ids.ac.uk/publication/who-counts- the-power-of-participatory-statistics) | Jeremy Holland, Centre for Development Impact | May 2014 |
| RD | JIU/REP/2014/6, Analysis of the evaluation function in the United Nations System | JIU | 2014 |
| RD | JIU/REP/2014/6, Analysis of the evaluation function in the United Nations System, Supplementary papers | JIU | 2014 |
| RD | Evaluation of the 2012-2016 UNDAF for Bangladesh | Joel Beasca and Salma Akhter, UNDAF Evaluation Team | Decembe r 2015 |
| Bibl | Post-2015: Recharging Governance of United Nations Development | K. Helgason, Dr S. Weinlich, German Institute for Development | June 2015 |
| RD | Mozambique Evaluation of UNDAF 2012-2016, Final Report | KPMG, UNDP | Novemb er 2015 |
| Bibl | The New Global Goals Spell the End of Kinky Development | Lant Pritchett | Oct 2015 |
| RD | Monitoring and Evaluation Policy and Strategy | MDG Achievement Fund | ott-12 |
| Bibl | Evaluability Assessment: A Primer | Michael S. Trevisan and Yi Min Huang, Washington State University, Pullman, Washington | 2003 |
| RD | Independent Comprehensive Evaluation of the Scaling Up Nutrition Movement: Final Report – Main Report and Annexes | Mokoro Ltd | May 2015 |
| RD | The Paris Declaration Evaluation - Project Completion Report, March 2012 | Niels Dabelstein, Paris Declaration Secretariat | March 2012 |
| Bibl | 100 key research questions for the post-2015 development agenda, by Johan A. Oldekop et al. | ODI | 2016 |
| RD | Quality Standards for Development Evaluation | OECD Development Assistance Committee | 2010 |
| RD | Developing evaluation capacities at http://www.oecd.org/dac/evaluation/evaluatingc apacitydevelopment.htm | OECD Development Assistance Committee | 2016 |
| RD | Working Consensus on Evaluation Capacity Development | OECD Development Assistance Committee Network on Development Evaluation - Task Team on Capacity Development | n.a. |
| RD | Glossary of Key Terms in Evaluation and Results Based Management | OECD Development Assistance Committee Working Party on Aid Evaluation | 2002 |
| Bibl | Towards a Strategic Approach for Evaluation Capacity Development - Draft consultation paper | OECD/DAC | Nov 2010 |
| RD | Policy Instruction Evaluations | Office for the Coordination of Humanitarian Affairs (OCHA) | giu-12 |

| Bibl/RD | Title | Author | Date |
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| RD | OIOS-IED General Assembly mandated Thematic Evaluation of Monitoring and Evaluation of the MDGs: Lessons Learned for the post-2015 Era | OIOS-IED | March 2015 |
| Bibl | The unlikely journey to the 2030 Agenda for Sustainable Development, http://deliver2030.org/?p=6767 | Olav Kjørven, UNICEF | February 2015 |
| RD | Working Paper 426. Piecing together the MDG puzzle: domestic policy, government spending and performance, by Paola Lucci, Amina Khan and Chris Hoy | Overseas Development Institute (ODI) | Nov 2015 |
| Bibl | When and how to develop an impact-oriented monitoring and evaluation system | Peersman, G., Rogers, P., Guijt, I., Hearn, S., Pasanen, T., and Buffardi, A.; A Methods Lab publication. London: Overseas Development Institute | 2016 |
| RD | Roadmap by the co-facilitators, Denmark and Belize, for the informal consultations on the Follow-up and Review of the 2030 Agenda at the global level | Permanent Representatives of Belize and Denmark to the UN in New York | 24 March 2016 |
| RD | Assessing Sustainable Development: Principles in Practice | Peter Hardi and Terrence Zdan eds, International Institute for Sustainable Development; | 1996 |
| RD | Letter from the President of the General Assembly on the Secretary-General Report | President of the UN General Assembly | 3 March 2016 |
| Bibl | Implementation of General Assembly Resolution 67/226 on the quadrennial comprehensive policy review of operational activities for development of the United Nations system (QCPR): 2016 | Report of the Secretary General, SGR 2016 –Advance Unedited Version | Decembe r 2015 |
| RD | Criteria for assessing the evaluability of a Theory of Change | Rick Davies | April 2012 |
| RD | An Evaluability Assessment checklist | Rick Davies | 23 January 2015 |
| Bibl | Planning and Implementing Evaluability Assessments | Rick Davies & Anna Henttinen | April 2014 |
| RD | Planning Evaluability Assessments-A Synthesis of the literature with recommendations | Rick Davies, DfID Working paper n.40 | Aug 2013 |
| Bibl | Indicators and a Monitoring Framework for the Sustainable Development Goals | SDSN | June 2015 |
| Bibl | Indicators of Sustainability, http://www.sustainablemeasures.com/indicators | Sustainable Measures | 2010 |
| Bibl | African Thought Leaders Forum on Evaluation for Development | The Bellagio centre | Novemb er 2012 |
| RD | Concept Note, Fourth International Conference on National Evaluation Capacities , Bangkok, Thailand | The Royal Thai Government and the Independent Evaluation Office of UNDP | |
| Bibl | Who Framed Global Development? Language Analysis of the Sustainable Development Goals by Joe Brewer | The Rules | June 2015 |

| Bibl/RD | Title | Author | Date |
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| Bibl | Trade Union reaction to Transforming our world: the 2030 Agenda for Sustainable Development | Trade Union Development Cooperation Network (TUDCN) | 2015 |
| RD | Revised Evaluation Policy of UNICEF | UN Children's Fund | Apr 2013 |
| RD | Evaluation Policy | UN Conference on Trade and Development (UNCTAD) | dic-11 |
| RD | Mainstreaming the 2030 Agenda for Sustainable Development. Interim Reference Guide to UN Country Teams | UN Development Group | Oct 2015 |
| Bibl | ESCAP M&E System | UN Economic and Social Commission for Asia and the Pacific (ESCAP) | May 2010 |
| RD | Evaluation Policy | UN Economic and Social Commission for Western Asia | Jul 2014 |
| RD | E/CN.3/2016/2/Rev.1 - Report of the Inter- Agency and Expert Group on Sustainable Development Goal Indicators | UN Economic and Social Council | February 2016 |
| Bibl | Thematic evaluation of monitoring and evaluation of the Millennium Development Goals: lessons learned for the post-2015 era | UN Economic and Social Council | March 2015 |
| RD | Results-Based Management, Policy Note | UN Economic Commission for Africa | Feb 2012 |
| RD | Evaluation Policy | UN Economic Commission for Europe | Oct 2010 |
| Bibl | E/2014/61 Addressing ongoing and emerging challenges for meeting the Millennium development Goals in 2015 and for sustaining gains in the future | UN ECOSOC | Apr 2014 |
| RD | UNESCO Evaluation Policy | UN Educational, Scientific and Cultural Organization (UNESCO) | feb-15 |
| RD | UNIFEM Strategic Plan 2008-2011 Evaluability Assessment | UN Entity for Gender Equality and the Empowerment of Women (UN Women) | March 2011 |
| RD | Evaluation Policy of the United Nations Entity for Gender Equality and the Empowerment of Women | UN Entity for Gender Equality and the Empowerment of Women (UNIFEM) | Oct 2012 |
| RD | Evaluation Policy | UN Environment Programme (UNEP) | set-09 |
| Bibl | The road to dignity by 2030: ending poverty, transforming all lives and protecting the planet | UN General Assembly | Dec 2014 |
| RD | UNHCR's evaluation policy | UN High Commissioner for Refugees | Aug 2010 |
| RD | UNODC Evaluation Policy | UN Office on Drugs and Crime | 2015 |
| RD | Evaluation Policy | UN Population Fund | mar-09 |
| RD | The United Nations Regional Commissions and the Post-2015 Development Agenda. Moving to deliver on a transfomative and ambitious agenda | UN Regional Commissions | 2015 |
| Bibl | Medium Term Strategy (MTS) Mid-Term Evaluation | UN Relief and Works Agency for Palestine Refugees in the Near East (UNRWA) | Jan 2013 |
| RD | Regulations and Rules Governing Programme Planning, the Programme Aspects of the | UN Secretariat | apr-00 |

| Bibl/RD | Title | Author | Date |
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| | Budget, the Monitoring of Implementation and the Methods of Evaluation | | |
| Bibl | QCPR 2012 | UN SG | June 2012 |
| Bibl | TST – Selected Follow-up and Review Processes and Platforms | UN Technical Support Team | May 2015 |
| RD | Kazakhstan UNDAF (2010-2015) Final Evaluation Report | UNCT, Kazakhstan | Decembe r 2015 |
| RD | Evaluation Policy | UNDESA | Aug 2012 |
| RD | MAPS: Mainstreaming, Acceleration and Policy Support for the 2030 Agenda, UNDG Concept Note | UNDG | October 2015 |
| RD | Standard Operating Procedures for Countries adopting the "Delivering as One approach | UNDG | 2014 |
| RD | Proceedings from the International Conference on National Evaluation Capacities 15-17 December 2009, Casablanca, Kingdom of Morocco | UNDP | 2011 |
| RD | Proceedings from the Second International Conference on National Evaluation Capacities 12–14 September 2011, Johannesburg, South Africa | UNDP | 2012 |
| RD | Solutions related to Challenges of Independence, Credibility and Use of Evaluation, Proceedingsfrom the Third International Conference on National Evaluation Capacities, 30 September – 2 October 2013, Sao Paulo, Brazil | UNDP | June 2014 |
| RD | Helen Clark: Speech on Sustainable Development Goal Implementation — the UN Development System and UNDP's Roles at: http://www.undp. org/content/undp/ en/home/presscen ter/speeches/2016 /01/18/sustainable -development- goal- implementation- the-un- development- system-and-undp- | UNDP | January 2016 |
| RD | s-roles.html Towards a Baseline Study: Insights on National Evaluation Capacities in 43 Countries | UNDP Independent Evaluation Office | Dec 2015 |

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| RD | Evaluability Assessments of the Programme Country Pilots Delivering as One UN, Synthesis Report | UNEG | Dec 2008 |
| RD | Marco Segone, UNEG Chair, Speaks About a UNEG Fit for the Post-2015 Agenda, https://www.youtube.com/embed/7erKmkAbod g | UNEG | April 2015 |
| Bibl | National Evaluation Capacity Development: Practical tips on how to strengthen National Evaluation Systems. A Report for the United Nations Evaluation Group (UNEG) Task Force on National Evaluation Capacity Development | UNEG | n.a. |
| RD | UNEG Norms and Standards | UNEG | 2005 |
| RD | UNEG Study on the Evaluability of the UN Development Assistance Framework | UNEG | Decembe r 2006 |
| RD | Impact Evaluation in UN Agency Evaluation Systems: Guidance on Selection, Planning and Management | UNEG | 2013 |
| RD | Integrating Human Rights and Gender Equality in Evaluation –Towards UNEG Guidance | UNEG | 2011 |
| RD | Integrating Human Rights and Gender Equality in Evaluation | UNEG | 2014 |
| RD | UNEG Handbook for Conducting Evaluations of Normative Work in the UN System | UNEG | 2014 |
| RD | Resource Pack on Joint Evaluations | UNEG | 2014 |
| RD | Formative Evaluation of the UNEP Medium- term Strategy 2014-2017 | UNEP Evaluation Office | August 2015 |
| RD | Formative Evaluation of UNEP's Programme of Work 2010-2011 | UNEP Evaluation Office | July 2011 |
| Bibl | A/66/859 - Independent evaluation of lessons learned from "Delivering as one" | UNGA | June 2012 |
| Bibl | A/67/L.48/rev.1 - Open Working Group of the UNGA on SDGs | UNGA | January 2013 |
| Bibl | A/70/16, Report of the Committee for Programme and Coordination, Fifty-fifth session, (1-26 June 2015) | UNGA | 2015 |
| RD | A/RES/67/226 - Quadrennial comprehensive policy review of operational activities for development of the United Nations system | UNGA | Decembe r 2012 |
| RD | A/RES/69/237 - Building capacity for the evaluation of development activities at the country level | UNGA | Decembe r 2014 |
| RD | Resolution adopted by the General Assembly on 27 July 2012, 66/288. The future we want | UNGA | July 2012 |
| RD | Informal process consultation on SG report 'Critical milestones' | UNGA President | March 2016 |
| RD | UN-HABITAT Evaluation Policy | UN-HABITAT | Jan 2013 |

| Bibl/RD | Title | Author | Date |
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| RD | A review of UNHCR's engagement with the 'Delivering as One' (DaO) Initiative | UNHCR Policy Development and Evaluation Service (PDES) | May 2015 |
| Bibl | Advocating for Evaluation. A toolkit to develop advocacy strategies | UNICEF | 2014 |
| Bibl | Bridging the gap. The role of monitoring and evaluation in evidence-based policy making | UNICEF | Jan 2008 |
| Bibl | Country-led monitoring and evaluation systems. Better evidence, better policies, better development results | UNICEF | 2009 |
| Bibl | Evaluation for equitable development results | UNICEF | 2012 |
| RD | UNICEF Strategic Plan 2014-2017 Evaluability Assessment. Final Report | UNICEF | July 2015 |
| Bibl | Voluntary Organizations for Professional Evaluation (VOPEs). Learning from Africa, Americas, Asia, Australasia, Europe and Middle East | UNICEF | 2013 |
| RD | EvalNews, issue 62 | UNICEF Evaluation Office | Septemb er 2015 |
| Bibl | Evaluation and Civil Society. Stakeholders' perspectives on National Evaluation Capacity Development | UNICEF, EvalPartners, IOCE | n.a. |
| RD | Independent Thematic Evaluation - UNIDO's Contribution to the Millennium Development Goals | UNIDO Evaluation Unit | 2012 |
| Bibl | A New Global Partnership: Eradicate poverty and transform economies through sustainable development | United Nations | May 2013 |
| RD | Independent Evaluation of Delivering As One | United Nations | Sep 2012 |
| RD | Transforming Our World; the 2030 Agenda for Sustainable Development | United Nations | Sep 2015 |
| RD | Evaluation of the role of UNDP in supporting national achievement of the Millennium Development Goals | United Nations Development Programme (UNDP) | May 2015 |
| Bibl | Evaluation of the role of UNDP in supporting national achievement of the Millennium Development Goals, power point | United Nations Development Programme (UNDP) | June 2015 |
| Bibl | Evaluation of the UNDP Strategic Plan 2008–2013 | United Nations Development Programme (UNDP) | May 2013 |
| RD | Global and Thematic Evaluation of the Millennium Development Goals Achievement Fund-Final Evaluation Report | United Nations Development Programme (UNDP) | Sep 2014 |
| Bibl | A New Era for Sustainable Development & International Year of Evaluation. Evaluation Changes Lives | United Nations Evaluation Group (UNEG) | Sep 2015 |
| RD | A UNEG fit for post-2015 by Marco Segone | United Nations Evaluation Group (UNEG) | n.a. |
| RD | The evaluation policy of UNDP | United Nations Population Fund (UNDP) | feb-11 |
| RD | A/70/684-Report of the Secretary-General on critical milestones towards coherent, efficient and inclusive follow-up and review at the global level | United Nations Secretariat | Jan 2016 |

| Bibl/RD | Title | Author | Date |
|---------|---|--|-------------------|
| Bibl | Preparations of the Secretary-General Report on Follow-Up and Review Synthesis of Member States' Comments | United Nations Secretariat | Nov 2015 |
| Bibl | Preparations of the Secretary-General Report on Follow-Up and Review Synthesis of Stakeholders' Comments | United Nations Secretariat | Nov 2015 |
| Bibl | Questionnaire Critical milestones | United Nations Secretariat | Novemb er 2015 |
| Bibl | E/2015/68, Managing the transition | UNSG | April 2015 |
| RD | Guidance note 4 - carrying out an evaluability assessment | UN-Women | Decembe r 2009 |
| Bibl | No one left behind. Evaluating SDGs with an equity-focused and gender responsive lens, by Marco Segone & Kabir Hashim | UN-Women | January 2016 |
| Bibl | Conducting an evaluability assessment for USAID evaluations | USAID | 2015 |
| RD | Evaluation Quality Assurance System, five guidance documents | WFP Evaluation Office | 2015 |
| RD | Evaluation Policy (2016–2021) | World Food Programme (WFP) | Nov 2013 |
| RD | WHO reform-Draft formal evaluation policy | World Health Organization (WHO) | May 2012 |
| RD | Revised WIPO Evaluation Policy | World Intellectual Property Organization (WIPO) | May 2010 |
| RD | WMO Monitoring and Evaluation System | World Meteorological Organization (WMO) | April 2012 |
| Bibl | A Bibliography on Evaluability Assessment | Zotero | 2013 |

Annex 3, Interviewees and contributors to the Review of the SDGs evaluability for UNEG SO3/SDG Working Group

| Title | Name | Surname | Role/Department | Organization |
|-------|-----------|--------------|---|---|
| Mr | Edgar | Dante | Programme Officer, Evaluation Unit, Strategy and Programme Management Division; Peer Review Panel member | ESCAP |
| Ms | Dorothy | Lucks | Co-chair, Executive Director | EvalSDGs; SDF Global Pty Ltd |
| Ms | Federica | Bottamedi | Evaluation analyst, Office of Evaluation; SO3/SDG-WG member | FAO |
| Ms | Marta | Bruno | Evaluation officer, Office of Evaluation; SO3/SDG-WG member | FAO |
| Ms | Caroline | Heider | Director General, Independent Evaluation Group | IEG, the World Bank |
| Mr | Peter | Wichmand | Senior evaluation officer, Evaluation Office, SO3/SDG-WG member | ILO |
| Mr | Michael | Bamberger | Peer Review Panel member | Independent consultant |
| Mr | Rick | Davies | Peer Review Panel member | Independent consultant |
| Ms | Margareta | De Goys | Peer Review Panel member | Independent consultant |
| Ms | Paola | Simonetti | Coordinator for Development Cooperation | International Trade Union Confederation |
| Mr | Ziad | Moussa | Co-chair | IOCE/EvalPartners |
| Ms | Sukai | Prom-Jackson | Inspector | JIU |
| Mr | Green | Scott | Coordinator, Independent System- Wide Evaluation Secretariat | JIU/ISWE |
| Ms | Barbora | Farkasova | Evaluation officer, Independent Evaluation Division | OIOS |
| Mr | Ellen | Vinkey | Senior Evaluator, Independent Evaluation Division | OIOS |
| Mr | Guo Yee | Woo | Director, Independent Evaluation Division; SO3/SDG-WG member | OIOS |
| Mr | Nurul | Alam | Permanent Observer (Ambassador) to the United Nations | Partners in Population and Development (PPD) |
| Ms | Kit | Clausen | Counsellor, Economic and Social Affairs | Permanent Mission of Danemark to the United Nations in New York |
| Mr | Daniel | Flento | Second Secretary | Permanent Mission of Danemark to the United Nations in New York |
| Ms | Geeta | Batra | Chief Evaluation Officer and Deputy Director, Independent Evaluation Office | The GEF |
| Mr | Juha | Uitto | Director, Independent Evaluation Office | The GEF |
| Ms | Moa | Herrgard | Deputy Organising Partner | UN Major Group for Children & Youth |
| Ms | Janet | Wieser | Chief, Programme Evaluation and Communications Research; SO3/SDG-WG member | UN Secretariat, Department of Public Information |
| Ms | Shravanti | Reddy | Evaluation officer, Independent Evaluation Office; SO3/SDG-WG and Task Team member | UN Women |

| Ms | Florencia | Tateossian | Evaluation officer, Independent Evaluation Office; SO3/SDG-WG and Task Team member | UN Women |
|----|-------------|-----------------|--|----------------------------------|
| Mr | Marco | Segone | Director, Independent Evaluation Office; UNEG Chair | UN Women/UNEG |
| Mr | Andrew | Fyfe | Director, Evaluation office; SO3/SDG-WG member | UNCDF |
| Mr | Kristinn | Helgason | Deputy Chief, Development Cooperation Policy Branch | UN-DESA |
| Mr | Gerald | Daly | Team Leader, Programme and Business Operations | UN-DOCO |
| Ms | Ana Rosa | Monteiro Soares | Evaluation Advisor, Independent Evaluation Office | UNDP |
| Mr | Indran | Naidoo | Director, Independent Evaluation Office; UNEG Vice-Chair; Peer Review Panel member | UNDP/UNEG |
| Ms | Deborah | Rugg | Former Head/Director | UNEG/OIOS |
| Mr | Michael | Spilsbury | Director, Evaluation Office | UNEP |
| Ms | Susanne | Frueh | Director, Internal Oversight Service | UNESCO |
| Mr | Geoff | Geurts | Principal Evaluation Specialist, Evaluation Section; SO3/SDG-WG member | UNESCO |
| Ms | Alexandra | Chambel | Evaluation officer, Evaluation Office; SO3/SDG-WG member | UNFPA |
| Ms | Andrea | Cook | Director, Evaluation Office; SO3/SDG-WG and Task Team member | UNFPA |
| Mr | Khrishna | Belbase | Senior evaluation officer, Evaluation Office; SO3/SDG-WG and Task Team member | UNICEF |
| Mr | Colin | Kirk | Director, Evaluation Office; UNEG Vice-Chair; SO3/SDG-WG member | UNICEF |
| Mr | Robert | Stryk | Chief, Evaluation Division; UNEG Vice-Chair; SO3/SDG-WG and Task Team member | UNRWA |
| Ms | Anne-Claire | Luzot | Senior Evaluation Officer, Office of Evaluation; SO3/SDG-WG and Task Team member | WFP |
| Ms | Helen | Wedgwood | Director, Office of Evaluation; UNEG Vice-Chair, SO3/SDG-WG convener | WFP/UNEG |
| Ms | Luisa | Volpe | Secretary | World Farmers Organization (WFO) |

Annex 4, Features of Evaluability Assessments/evaluations by UNEG and UNEG members, of strategic plans and frameworks

| Heading*/ Commissioning entity | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation Office, 2011 | UNEP Evaluation Office, 2011 | UNICEF Evaluation Office, 2015 | UNEP Evaluation Office, 2015 | WFP Evaluation Office, 2016 |
|--------------------------------------|---|--|---|---|---|--|---|
| Title | UNEG Study on the Evaluability of the UN Development Assistance Framework | Evaluability Assessments of the Programme Country Pilots Delivering as One UN | UNIFEM Strategic Plan 2008-2011, Evaluability Assessment | Formative Evaluation of UNEP's Programme of Work 2010-2011 | UNICEF Strategic Plan, 2014-2017 Evaluability Assessment | Formative Evaluation of the UNEP Medium- term Strategy 2014-2017- A review of UNEP programming processes and documents | Evaluability Assessment of WFP's Strategic Plan 2014-2017 |
| Timing | Second year of implementation of the revised UNDG guidelines | First year of implementation of the Pilots. | Planned mid-term; became final due to the merging of UNIFEM into UN-Women. | Second year of the Programme of Work | First year of implementation of the SP. | First year of implementation of the SP. | Third year of implementation of the SP. |
| Purpose | This study is expected to provide inputs into the updating of the UNDAF M&E guidance, currently being prepared by UNDG led Working Group on Programming Policy. It is also meant to yield benefits for the on-going process of conducting joint country-level evaluations and to provide inputs to refine the scope of the next round of UNDAF evaluations that will be commissioned by the relevant UNCTs. | The EAs respond to the need of the HLCP and the CEB for information and analysis; they provide provisional evaluative feedback to the governments of pilot countries and the UN Country Teams (UNCTs), including global and regional support mechanisms coordinated by the United Nations Development Group Office (UNDGO) (which was recently | Originally, a qualitative analysis of [the Strategic Plan's] basic parameters to ascertain whether a meaningful evaluation of [the Strategic Plan] is feasible and will provide useful information at a later stage both in terms of the results of the plan, as well as the processes that lead to these result. The re-oriented exercise became a qualitative analysis of the [Strategic Plan's] basic parameters and its monitoring and reporting systems. A formative and forward | This formative evaluation is intended to provide Senior Management (including staff from the Quality Assurance Section) with feedback on the design and delivery of the PoW. Through an analysis of the development processes of the PoW and the causal relationships embedded in UNEP planning documents, the evaluation will seek to understand | To determine the extent to which progress towards the objectives set out in the Strategic Plan can be readily and reliably measured, monitored and evaluated. | The evaluation objective of this Formative Evaluation is to assess the appropriateness of the design of the MTS and its associated planning documents in time to inform the next MTS and PoW planning cycle. | This EA of the current Strategic Plan will advise on what should be done differently in the design of the new SP (already in development) and what are the necessary changes to accompanying performance architecture to make that new SP more evaluable by the end of its life. It will also assist in deciding on the most suitable approach to |

| Heading*/ Commissioning entity | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation Office, 2011 | UNEP Evaluation Office, 2011 | UNICEF Evaluation Office, 2015 | UNEP Evaluation Office, 2015 | WFP Evaluation Office, 2016 |
|--------------------------------------|---|---|--|---|--|---|---|
| | | renamed the United Nations Development Operations Coordination Office [UNDOCO]); and they define the basic parameters by which any future evaluation, whether commissioned by the General Assembly or the CEB, can fully assess the processes and outcomes of the DaO pilots in 2009-2010 | looking exercise aimed at capturing best practices, challenges and lessons learned from the UNIFEM Strategic Plan experience to date for reflection and learning. | whether projects are optimally linked to higher level results. | | | evaluating achievements of the current Strategic Plan 014-2017. |
| Scope | The study focuses on the 35 UNDAF documents (listed at Annex 1) completed in 2004 and 2005, where it can be expected that the revised Guidelines would have affected the approach adopted both to programme design and monitoring and evaluation and hence their evaluability | Eight DaO pilots | The scope of work was strongly grounded in understanding the experience of the Strategic Plan and its systems at sub-regional and country levels, with a requirement for two field studies at sub-regional and two at country level. | The scope of the evaluation includes analysis of selected programme frameworks included in the PoW for UNEP in 2010-2011. | UNICEF Strategic Plan, 2014-201, the detailed Revised Supplementary Programme Note on the Theory of Change for the UNICEF Strategic Plan, 2014- 2017, the final results framework of the UNICEF Strategic Plan, 2014-2017 and the UNICEF integrated budget, 2014-2017. | The Scope of the evaluation encompasses both the development process and the substance of the UNEP Medium Term Strategy (MTS) 2014-2017, and includes the Programmes of Work (PoWs) for 2014-2015 and 2016-2017, the Programme Framework (PF) documents for each Subprogramme, their associated project concepts and approved | WFP Strategic Plan 2014-2017, the Strategic Results Framework (SRF) 2014-201711 and (ii) the Management Results Framework (MRF). |

| Heading*/ Commissioning entity | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation Office, 2011 | UNEP Evaluation Office, 2011 | UNICEF Evaluation Office, 2015 | UNEP Evaluation Office, 2015 | WFP Evaluation Office, 2016 |
|--------------------------------------|--|--|--|---|--|--|---|
| | | | | | | Project Documents (ProDocs). | |
| Objectives | Assess: a) clarity of intent of the subject to be evaluated (relevance and design of the expected outcome statements and results matrices); b) the existence of sufficient measurable indicators (collection of reliable data for analysis); c) quality of joint monitoring systems; and d) external factors (positive or negative) that have influenced the process and the realization of expected outcomes. | At the global and systemic level, there is an increasing awareness that the DaO pilots need to be assessed against the background of the General Assembly resolutions related to the TCPRs of 2001, 2004 and 2007. These resolutions reflect the intergovernmental consensus concerning relevance and coherence of the UN development system at the country level. This is methodologically important, as the DaO pilots referenced key recommendations of the Secretary-General's High-level Panel on Systemwide Coherence presented in a report on 9 N ovember 2006.26 It is important to note that the High-level Panel report did not reflect an intergovernmental | To assess the Strategic Plan's theory of change and its results frameworks and identify strengths, weaknesses, challenges and lessons learned in regards to formulation of results and indicators and provide recommendations for strengthening it; To assess the utility of the Strategic Plan as a guiding framework for the development of thematic, regional, subregional, country strategies and programmes and provide recommendations for enhanced utility; To assess the data collection and information systems for tracking Strategic Plan results in terms of their relevance, effectiveness and coherence and the ability to aggregate results from country to corporate level and over time and provide recommendation on how they can be improved; • To assess the extent to which the | The evaluation aims to highlight lessons from the PoW preparation process, the design of the PoW itself, and the associated implementation processes with the aim of improving future PoW/MTS programming | Objective 1: Assess the relevance, clarity, and coherence of the Strategic Plan's design, including the integrity of the causal chain linking inputs and activities with expected outputs, outcomes and impact. Objective 2: Assess the adequacy and validity of the indicators, tools and systems for monitoring, measuring and verifying results; and of systems and tools to assess the adequacy and quality of data to be used in monitoring, measuring and evaluating results, including any significant gaps in coverage. Objective 3: Assess data and systems to determine the degree to which human and financial resources can be evaluated against the expected results. Objective 4: Provide guidance on approaches to the evaluations of the | The evaluation is intended to provide findings, lessons learned and recommendations in the first biennium of the 2014-17 MTS, based on an analysis of the quality, coherence and causal relationships specified across UNEP's planning documents. It is intended to support decision-making by UNEP member states and Senior Management regarding future UNEP strategic planning processes. It also provides findings and recommendations to improve the project development process and the quality of ProDocs. | All WFP evaluations have both accountability and learning objectives; and so does this evaluability assessment. In the short term, it aims to enhance learning by: a) Providing analysis and lessons which Management can use to improve design of the next Strategic Plan and the accompanying performance management architecture and systems, especially in the light of the SDG's; b) Providing a solid basis for the Director of Evaluation to make an informed plan for evaluating WFP's performance against its current Strategic Plan – and future, to the extent possible. In the longer term, this will also serve accountability objectives by |

| Heading*/ Commissioning entity | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation Office, 2011 | UNEP Evaluation Office, 2011 | UNICEF Evaluation Office, 2015 | UNEP Evaluation Office, 2015 | WFP Evaluation Office, 2016 |
|--------------------------------------|-----------|--|---|---------------------------------|---|---------------------------------|--|
| | | consensus but only presented a vision that gave new impetus to the debate. This situation poses particular challenges for identifying adequate benchmarks for the evaluation. It would be inappropriate, for example, to consider the 'four Ones' as clear benchmarks, all the more because it has become apparent during the informal consultations held in the General Assembly in 2007 that these concepts are by no means undisputed.27 It is therefore more appropriate to refer to the TCPR resolutions of 2001 and 2004 as benchmarks for the evaluation. | results and indicators in the Strategic Plan and its results frameworks contribute to enhanced monitoring, reporting and learning about UN system-wide coordination and accountability for results on GEWE, particularly at the country level and through MRF Output 2, and provide recommendations on how to strengthen this aspect; To assess the Strategic Plan and its results frameworks in terms of how it enhances and clarifies linkages between normative and operational areas of work and provide recommendations on how to improve this aspect; To assess the extent to which the Strategic Plan would allow for meaningful final evaluation that would provide useful information in terms of the achievement of results or lack thereof, as well as the processes that have led to the achievement/ non-achievement of results and to provide | | Strategic Plan with a view to enhancing its utility. Objective 5: Provide evidence and recommendations to guide any enhancements that may be required regarding the design of the Strategic Plan. | | assuring WFP's Governing Body and Management that more robust evaluation of WFP's performance and progress in achieving its Strategic Objectives at the end of the next Strategic Plan period will be possible – currently under preparation for the period 2017- 202110 |

| Heading*/ | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation | UNEP Evaluation | UNICEF Evaluation | UNEP Evaluation | WFP Evaluation |
|---------------|--|--|--|---|---|------------------------------------|---------------------------------------|
| Commissioning | | | Office, 2011 | Office, 2011 | Office, 2015 | Office, 2015 | Office, 2016 |
| entity | | | | | | | |
| | | | recommendations on how to strengthen the | | | | |
| | | | Strategic Plan's | | | | |
| | | | evaluability; • To assess | | | | |
| | | | how learning to date on | | | | |
| | | | the Strategic Plan and its | | | | |
| | | | results frameworks, data | | | | |
| | | | collection and | | | | |
| | | | information systems can | | | | |
| | | | contribute to future UN | | | | |
| | | | Women strategic | | | | |
| | | | planning processes and | | | | |
| | | | provide | | | | |
| | | | recommendations to this effect. | | | | |
| Evaluation | Purpose of the | An evaluability | Technical robustness: | 1. To what extent are | Relevance and clarity: | The evaluation is | The evaluability |
| criteria | Evaluation; Clarity of | assessment describes | How do the Strategic | the UNEP PoW | Are the Theory of | mainly concerned | assessment will |
| | intent of the subject to be | and analyses the | Plan and its results | activities and outputs | Change components | with the relevance | generate: - an |
| | evaluated (relevance and | parameters that will | frameworks function as | appropriately linked | clearly relevant to the | and internal | assessment of the |
| | design of the expected | make it possible to | instruments for strategic | to the specified | needs of the target | coherence of | clarity, relevance |
| | outcome statements and | fully evaluate at a | planning? | strategic | group, as identified by | UNEP's strategic | and coherence of |
| | results matrices; | later stage both the | Accompanying systems: | performance targets? | any form of situation | and planning | the design of the |
| | Existence of sufficient | results of the DaO | What are their roles in | 2. Are Expected | analysis, baseline study | documents from | Strategic Plan 2014- |
| | measurable indicators and | pilot initiatives and | supporting Strategic Plan | Accomplishments | or other evidence and | the highest level | 2017, including the |
| | collection of reliable data for analysis; Quality of | the processes that led to the results. The | delivery? The | well formulated and realistic and are their | | (MTS) down to the lowest (ProDocs) | integrity of the causal chain linking |
| | joint monitoring systems; | parameters include | experience of implementation: What | performance | group clearly | for the period | inputs and activities |
| | External factors (positive | the following: a) | has been the reality of | indicators and means | identified? Are the | 2014-2017. It also | with expected |
| | or negative) that have | quality of the design | taking up and using the | of measurement | elements of the Theory | addresses the | outcomes and |
| | influenced the process | for the achievement | Strategic Plan and its | appropriate and | of Change clearly | effectiveness of | Strategic Objectives; |
| | and the realization of | of results, that is, the | systems? The UNIFEM | sufficient? | identified and are the | the internal | - identification of the |
| | expected outcomes. | existence of clear | mandate: How has the | 3. What is the | proposed steps towards | development | major challenges, |
| | | objectives and | Strategic Plan supported | quality of the | achieving these clearly | processes of these | risks and |
| | | indicators to measure | the delivery of | project design? Are | defined? | documents. | opportunities to |
| | | results at a later | UNIFEM's remit around | Activities, Outputs, | Plausibility and | | evaluation of the |
| | | stage; b) initial | normative / operational activity plus the UN | Outcomes and | testability : Is there a continuous causal chain | | Strategic Plan 2014- 2017 and |
| | | appraisal of processes for the | coordination processes? | Impacts properly defined? | connecting outputs and | | implications for the |
| | | optimal involvement | The information base: | 4. What are the | outcomes to the final | | next Strategic Plan, |
| | | of relevant national | To what extent has the | weaknesses and | impact of concern? Is it | | especially in the light |

| Heading*/ Commissioning entity | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation Office, 2011 | UNEP Evaluation Office, 2011 | UNICEF Evaluation Office, 2015 | UNEP Evaluation Office, 2015 | WFP Evaluation Office, 2016 |
|--------------------------------|-----------|--|---|---|--|---------------------------------|---|
| | | and international stakeholders (including the governments of recipient countries, civil society, the private sector, UN organizations and external aid agencies); c) existence of adequate sources of information to assess the required processes and achievement of results and indicators; and d) national ownership and leadership in the evaluation process, that is, the identification of independent and credible evaluators in pilot countries who can be involved in the evaluation of process and results of the DaO pilots at a later stage. | Strategic Plan delivered an information base to support any later evaluation? | strengths of the current work programming approach? 5. How can work programming processes be streamlined and results-based management further fostered? | possible to identify which linkages in the causal chain will be most critical to the success of the strategy, and thus the focus of evaluation questions? Context: Have assumptions about the roles of other actors outside of UNICEF been made explicit (both enablers and constrainers)? Are there plausible plans to monitor these in any practicable way? | | of the new Sustainable Development Goals; - an assessment of the adequacy and validity of the indicators, tools and current systems for measuring results of the Strategic Plan 2014-2017 and of the adequacy, availability and quality of data to be used; - an assessment of the extent to which the allocation of human and financial resources can be traced to specific outcomes and corresponding Strategic Objectives; - recommendations on improvements to be made to the design of the next Strategic Plan and results frameworks and reporting systems in order to make it evaluable; and - suggestions on appropriate approaches to the evaluation of the Strategic Plan 2014- 2017, identifying what type and level of evaluation will be |

| Heading*/ Commissioning entity | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation Office, 2011 | UNEP Evaluation Office, 2011 | UNICEF Evaluation Office, 2015 | UNEP Evaluation Office, 2015 | WFP Evaluation Office, 2016 |
|--------------------------------------|---|--|---|--|---|--|--|
| | | | | | | | possible and most useful at the end of the Plan period. |
| Aproach and methods | Under the four broad questions identified in the ToRs, the UNEG Norms and Standards were used to develop a set of more detailed questions. Selection of relevant UNEG Standards was also influenced by review of a number of documents. Selection of these questions also revealed that it would not be possible to interpret the evidence based on the purely technical aspects of the results framework, without making assumptions on what the purpose of the evaluation would be. Therefore a fifth group of questions | The independence and credibility of the DaO evaluation was ensured by strict adherence to UNEG Norms and Standards. This requirement was observed to the greatest possible extent during the evaluability assessment. The exercise seeks to support pilot countries in evaluating their experiences and sharing lessons learned. The overall evaluation will provide | The re-oriented study had more in common with an evaluation of a strategy, in its focus on systems, its emphasis on the information needs of evaluation users and its intended relevance to decision-makers. A broad mix of methods, was adopted, including comprehensive documentary analysis and interviews, review of the online tracking systems, two field trips and a validation visit. Sampling and analytical frameworks were developed for data gathering / analysis, including the field trips, | The evaluation was conducted as a desk study focusing on the processes and content of project/programme design and reporting on the Programme of Work for 2010-2011. Interviews were conducted of subprogramme coordinators, managing divisions, strategic/ programme planners and senior management of the organization. Based on the review of the programme/project documents, Theory of Change (TOC) | The Strategic Plan was neither benchmarked against the strategic plans of other agencies nor compared to an abstract standard. The evaluability assessment should provide a baseline against which any later improvements or adjustments can be assessed. The evaluability assessment was guided by an analytical construct centred on 'evaluability in principle' based on the internal logic of a programme, as represented by its theory of change, and 'evaluability in | The evaluation is largely based on a desk review and analysis of key documentation complemented by formal interviews and informal discussions. For each strategy or planning document / process and document, a separate assessment rubric was used. | Inception phase: the evaluability assessment team will be briefed and review the assignment, expected deliverables and proposed approach. In this phase, they will do an initial review of key literature and conduct preliminary interviews with core WFP staff stakeholders. Main evaluability assessment phase will use a variety of methods, including: - In-depth and extensive literature |
| | was included that focused on the purpose of the evaluation. Additional was collected through telephone interviews with 6 selected UNCTs5 and from the questionnaire completed in mid 2006 by 65 of the possible 80 UNCTs on the | accountability for processes and support intergovernmental and inter-agency decision making related to the reform of the UN system in view of making it more relevant, | and were shared with Evaluation Unit and the study's cross- institutional Reference Group. There was a strong focus on the eventual users of the study. | analyses of the various projects were undertaken to determine the causal pathways of the individual projects and the likely contribution of these projects to Programme of Work | practice', as represented by the measurement approach, tools and indicators used to reflect performance and results achieved. The evaluability assessment relied on a mix of methods and tools to | | review and analysis of WFP's Strategic Plan 2014-2017, the related core Strategic Results Framework, Management Results Framework, the Performance Management System (PMS) and corporate |

| Heading*/ | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation | UNEP Evaluation | UNICEF Evaluation | UNEP Evaluation | WFP Evaluation |
|---------------|---------------------------|-----------------------|-------------------|-----------------------|--------------------------|-----------------|------------------------|
| Commissioning | | | Office, 2011 | Office, 2011 | Office, 2015 | Office, 2015 | Office, 2016 |
| entity | | | | | | | |
| | effectiveness of the | coherent and | | Outputs (PoW | boost rigour and | | monitoring and |
| | guidelines. The evidence | efficient for Member | | outputs) and the | standardization while | | reporting strategies |
| | and findings were then | States. The | | Expected | also integrating | | and reports. |
| | triangulated against that | evaluation process | | Accomplishments | qualitative information | | - Interaction with the |
| | found in other reviews of | seeks to be highly | | and whether the | to contextualize and | | consultant |
| | UNDAF performance | consultative with | | interventions utilize | nuance the findings. | | conducting a Mid- |
| | | national | | common actors, are | Based on best practices | | Term Review of |
| | | governments, | | mutually reinforcing | identified in the | | WFP's current |
| | | governing bodies of | | and | literature, the | | Strategic Plan, which |
| | | the UN system and | | converge/synergize | evaluability assessment | | will be ongoing in |
| | | senior management | | with one another to | made use of rubrics as | | parallel with this |
| | | of UN organizations | | deliver against the | tools for review of core | | evaluability |
| | | and to regularly | | EA. The evaluation | strategy documents. | | assessment. |
| | | provide information | | also undertook an | Semi-structured | | - Reviewing the |
| | | to decision makers | | assessment of the | interviews with a range | | appropriateness of |
| | | based on emerging | | Expected | of UNICEF staff | | the Performance |
| | | evaluative evidence. | | Accomplishment | members at | | Management |
| | | Each of the pilot | | result statements, | Headquarters and | | architecture and |
| | | initiatives needs to | | their indicators of | regional and country | | systems overall to |
| | | be considered first | | achievement, units of | offices provided | | evaluating |
| | | and foremost in its | | measure, baselines | additional critical | | performance against |
| | | national context. | | and targets to assess | information. Two | | the Strategic Plan. |
| | | Global benchmarks | | their quality and | regional offices were | | This would include |
| | | are those traced in | | validity | visited to answer | | mapping the |
| | | the TCPR resolutions | | | questions on the | | typology of relevant |
| | | of 2001 and 2004, | | | regional programme | | data available from |
| | | with consideration of | | | and the translation of | | various relevant |
| | | the new | | | the Strategic Plan into | | corporate |
| | | intergovernmental | | | country programmes. | | assessment, |
| | | guidance in the | | | Finally, several | | monitoring and |
| | | TCPR resolution of | | | questions required the | | reporting instruments |
| | | 2007. An attempt | | | examination of internal | | and systems |
| | | was made to detect | | | systems for results | | (including needs |
| | | common threads both | | | reporting and drew | | assessments, |
| | | in terms of merits | | | upon discussion of | | baselines, SPRs, |
| | | and challenges that | | | systems elements with | | COMET, SPRING |
| | | may be useful in the | | | staff and the | | etc and Third Party |
| | | broader debate of | | | development of source | | Monitoring) and |
| | | UN reform, | | | maps to identify the | | analyse. |
| | | especially regarding | | | directional flow of | | - Review of |

| Heading*/ | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation | UNEP Evaluation | UNICEF Evaluation | UNEP Evaluation | WFP Evaluation |
|---------------|-----------|------------------------|-------------------|-----------------|-------------------------|-----------------|-----------------------------------|
| Commissioning | | | Office, 2011 | Office, 2011 | Office, 2015 | Office, 2015 | Office, 2016 |
| entity | | | | | | | |
| | | the role and | | | results data from | | innovations being |
| | | contribution of the | | | source to Headquarters. | | tried in any WFP |
| | | UN system to the | | | | | Country Offices or |
| | | countries concerned | | | | | other units for |
| | | and the | | | | | gathering better |
| | | rationalization of its | | | | | feedback from |
| | | country presence. | | | | | affected populations. |
| | | The evaluability of | | | | | Key informant |
| | | UNDGO/UNDOCO | | | | | interviews with: key |
| | | support is also given | | | | | selected programme |
| | | some attention. The | | | | | staff and supporting |
| | | evaluability | | | | | units, covering both |
| | | assessments used | | | | | technical subject |
| | | several methods. | | | | | areas (resilience, |
| | | Information from | | | | | nutrition, social |
| | | sources was | | | | | protection etc) and |
| | | validated and | | | | | process (especially |
| | | crosschecked to the | | | | | resource |
| | | greatest extent | | | | | management); all |
| | | possible. During | | | | | Regional Bureaux |
| | | country visits, key | | | | | (by skype/phone); |
| | | documents were | | | | | selected members of |
| | | systematically | | | | | the WFP Executive |
| | | consulted and | | | | | Management Group; |
| | | analysed. The UNEG | | | | | and selected key |
| | | missions conducted | | | | | global partners. |
| | | individual, and in | | | | | - Visit to 2 Country |
| | | some cases, group | | | | | Offices (1 large, 1 |
| | | interviews with a | | | | | small) and skype |
| | | number of national | | | | | conversation with 3 |
| | | and external | | | | | more, focusing on (a) |
| | | stakeholders, such as | | | | | country perspectives |
| | | senior | | | | | on 'demand' and |
| | | representatives from | | | | | 'design'; and (b) |
| | | central and line | | | | | enablers, |
| | | ministries, civil | | | | | opportunities and |
| | | society, donors (both | | | | | constraints to quality |
| | | those involved in the | | | | | of data at country |
| | | DaO and those that | | | | | level. |
| | | are not), the RC and | | | | | - Assessment of |

| Heading*/ Commissioning | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation Office, 2011 | UNEP Evaluation Office, 2011 | UNICEF Evaluation Office, 2015 | UNEP Evaluation Office, 2015 | WFP Evaluation Office, 2016 |
|----------------------------|-------------|--|---|---|--|--|---|
| entity | | | | | | | |
| | | members of the UNCT. An attempt was made to capture the views of nonresident agencies through mini-surveys and telephone interviews. At the start of each mission, briefing sessions were organized with the RC, the UNCT and national stakeholders. At the end of each mission, preliminary findings and conclusions were shared with the same constituencies. Draft reports were circulated with these stakeholders for validation and feedback. | | | | | advantages and disadvantages of possible approaches to evaluation of WFP's Strategic Plan. Approaches may include: conducting one overarching evaluation covering the entire Strategic Plan; or conducting separate, individual evaluations of multiple specific dimensions of the Strategic Plan 2014-2017 (as was done for WFP's Strategic Plan 2008-2013). This may be conceived geographically, by programme, thematically or by key dimension where change is envisaged. Or a mix of these |
| Limitations | Not defined | Not defined | The design of the sampling frame for SRO and CO selection, which was constrained by competing demands around information availability and feasibility of visits; data constraints around programme documents in particular - much effort was required to identify | The formative evaluation is mainly a desk based exercise. Interviews were conducted with QAS staff and Subprogramme Coordinators and preliminary findings were presented to the UNEP Senior Management Team | The evaluability assessment was a focused exercise. Time and budgetary constraints forced trade-offs between depth and breadth of coverage. For example, it was not feasible to conduct a detailed examination of every output indicator | The evaluation was delayed because PFs were not formally approved until September 2014 and, even though the EO assessed the quality of the draft PFs in May-June 2014, their final | Interrogations into the context and demand for evaluation represent a snapshot in time. Presented views may not be accurate or valid in one-year, especially if there are shifts in organizational priorities or global |

| Heading*/ Commissioning | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation Office, 2011 | UNEP Evaluation Office, 2011 | UNICEF Evaluation Office, 2015 | UNEP Evaluation Office, 2015 | WFP Evaluation Office, 2016 |
|----------------------------|-----------|-----------|---|---|---|---|--|
| entity | | | | ,, | · · · · · · · · · · · · · · · · · · · | , | · · · · · · · · · · · · · · · · · · · |
| entity | | | and source relevant information (plus documentation from programmes designed after the 2008 Strategic Plan was limited) and finally, the limited scope for exploration of the external context, due to the state of flux in the institutional context – consequently external perspectives on UNIFEM's Strategic Plan were gleamed mostly from secondary documented sources or from interviews during field visits. | for comments. The understanding of underlying processes leading to the findings of the evaluation derived from the documentary review would have benefitted from interviews with a broader spectrum of UNEP staff, Divisional and Regional Office management staff in particular, but time and resource constraints did not allow these interviews to be conducted in any formal way. | contained in the updated results framework. In addition, the evaluability assessment did not set out to examine or make comparisons with the strategic plans of other agencies. Interviews were not sought with members of the Executive Board. The evaluability assessment's utility depended in part on its timely implementation, to allow adjustments to be made in the Strategic Plan. The evaluability assessment thus focused on the Headquarters level, with coverage of all regional offices via two site visits and phone/Skype interviews and coverage of a sample of country offices via phone/Skype interviews. As a result, the evaluability assessment offers a snapshot of experiences translating the Strategic Plan to the country level to date, but could not cover the breadth of that experience (e.g. across differing country | assessment could only take place after such approval. The large number of project documents prohibited the assessment of the causal linkages of ALL approved projects to the UNEP results framework. A sampling approach was adopted. | context based on the design of the next Strategic Plan or emerging thinking on the SDGs. Many aspects of WFP's performance management architecture were under change during the evaluability assessment. This means that the assessment has examined some systems before they have started or are fully operational, and made assumptions about when and in what form they will be operational at the time of an evaluation of the Strategic Plan. This evaluability assessment was constrained by time, which is reflected in the methodology that focuses on specific data points and sampling of data, rather than analysing all possible data. Trade-offs were made in the methodology that emphasized depth of coverage over breadth. |

| Heading*/ Commissioning entity | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation Office, 2011 | UNEP Evaluation Office, 2011 | UNICEF Evaluation Office, 2015 | UNEP Evaluation Office, 2015 | WFP Evaluation Office, 2016 |
|--|----------------|--|-----------------------------------|--|--|---------------------------------|--------------------------------|
| | | | | | contexts) or depth (e.g. through attending country office planning meetings or observing a country office complete the SMQs). | | |
| Management Response or evidence of use | Not applicable | The Synthesis of the DaO CLE made several references to the EA. The Independent evaluation of the DaO stated: UNEG's evaluability assessments thus contain useful methodological information for any future evaluation of the pilot experiences, including the independent evaluation of lessons learned requested by the General Assembly. The evaluability assessment studies also contained some evaluative feedback especially on key process indicators reflecting the situation in mid-2008, that is, in most cases one and a half years after the DaO approaches had been | Not available | The Formative Evaluation has influenced strategic planning processes and is cited in UNEP's major programming documents, for example the UNEP 2012-13 Programme of Work stated: "lessons learned during the planning and early implementation phases of the programme of work and budget for the biennium 2010-2011 have strongly influenced the formulation of the programme of work and budget for the biennium 2012-2013. These lessons learned touch on a diverse range of issues, from responsibility and accountability to programme | UNICEF welcomes the Evaluability Assessment, including its first key finding, that the Strategic Plan "is widely viewed as the most coherent and technically sound that UNICEF has developed to date." The assessment's findings and recommendations are all well-grounded in evidence. UNICEF also notes that the decision about whether future 'Strategic Plans' should be structured and presented as "plans" or "frameworks" should be made by the Executive Board. In addition to the management response described below, UNICEF looks forward to consulting with the Executive Board on UNICEF's next 'Strategic Plan', | Not available yet | Not available yet |

| Heading*/ Commissioning entity | UNEG 2006 | UNEG 2008 | UNIFEM Evaluation Office, 2011 | UNEP Evaluation Office, 2011 | UNICEF Evaluation Office, 2015 | UNEP Evaluation Office, 2015 | WFP Evaluation Office, 2016 |
|--------------------------------------|-----------|---|-----------------------------------|--|---------------------------------------|---------------------------------|--------------------------------|
| | | initiated. They therefore represent useful additional information on the evolution of the DaO initiatives in the pilot countries. | | coordination, communication, monitoring, evaluation and reporting and the allocation of funds to subprogrammes"; all findings that were derived from the Formative Evaluation. | including on alignment with the SDGs. | | |

^{*:} not all reports were structured according to the headings used in this table for comparison purpose. This means that the contents of some reports are listed here under an 'artificial' heading

Annex 5, Some recommendations with relevance for UN organizations and Member States, from selected evaluations

| Evaluation | Recommendations and Lessons/Learned | Addressed to: |
|---------------------------------|---|--------------------------------|
| DaO Evaluation | Strong national coordination mechanisms need to be consolidated and links between individual UN organizations and line ministries should be strengthened and expanded | Governments and UN Agencies |
| DaO CLE synthesis | The UN needs to further review its joint comparative advantages and in collaboration with Government narrow down the breadth of UN programme interventions, strategize and reduce the number of small projects. The new One Programmes should have interventions clustered under outcomes which are linked with sector strategies. | Governments and UN Agencies |
| OIOS MDG evaluation | A fully developed strategy to support national statistical, monitoring and evaluation capacity development needs to be in place; the strategy needs to include multilateral and bilateral support as well as a resource mobilization plan | Not specified |
| Paris Declaration evaluation | Make the hard political choices and follow through | Governments |
| UNDAF evaluation n Bangladesh | The UNCT has already initially delimited the scope of the next UNDAF to just 3-5 Outcomes, an adjustment which is consistent with the thread of this evaluation. Based further on the evaluation findings, the UNCT may also wish to consider: Determining the value-added associated with the target outcomes (i.e. the synergistic effect or the new value that arises from the combined interventions of the UNCT agencies, following a cause-and effect relationship); Defining the Theory of Change for the achievement of the outcomes Adopting a strategy of joint policy advocacy and piloting of innovative approaches based on international practices (e.g. on climate change) that would lead towards these outcomes; and Emphasizing the involvement of the Planning Commission and the Line Ministries, aside from the Economic Relations Divisionin the overall UNDAF design | UN Country Team |
| UNDAF Evaluation in Honduras | Ensure the Government's participation in all the steps of the Programme, since its identification and formulation, through monitoring and reporting. Stronger Government's ownership by the | UN Country Team |

| Evaluation | Recommendations and Lessons/Learned | Addressed to: |
|---|--|--|
| | Government should be pursued, for a joint leadership with the UN system of the UNDAF. | |
| UNDAF Evaluation in Kazakhstan | A monitoring plan based on SMART indicators and reliable baseline information would enable the UNCT to document and demonstrate attribution of tangible results to the UNDAF related activities. Ensure the PFD M&E Framework in line with revised Guidelines for Preparation of UNDAF/PFD. | UN Country Team |
| UNDAF Evaluation in Mozambique | UNDAF implementation needs to emphasize a collective strategic vision of the UN's contribution to national priorities, and focus the UN's limited resources on those issues where the UN can make the biggest difference, based on its comparative advantage and capacities. | UN Country Team |
| UNDP MDG evaluation | UNDP should continue to provide Member States and UN organizations with guidance and thought leadership at the level of the entire SDG agenda on how to translate the post-2015 agenda at the national and subnational levels by establishing clear local priorities, while maintaining some degree of comprehensiveness and coherence with the global agenda. | UN Agency |
| MDG-F evaluation | Better mainstreaming of Gender Equality and empowerment of Women and (b) integrating of environment sensitivity into future programmes | Stakeholders involved in designing and implementing Joint Programmes |
| UNHCR MDG Review | UNHCR teams at a country level need to more systematically participate in the earliest stages of the CCA and UNDAF development to ensure that UNHCR's PoC are included in the framework and that it includes strategies for them to attain their right to assistance and protection in the long-term | UN Agencies |
| UNIDO MDG Evaluation Source: listed evaluation | Design and maintain project monitoring systems that monitor outcomes and impact, hence lay the foundation for continuous feeding of data to relevant national monitoring systems and/or national statistics. Include an amount in the project budget for maintaining this monitoring system. Include post-project surveys if some outcomes and impacts are expected to occur after completion. | UN Agencies |
| reports | | |

Annex 6, Lessons and recommendations with relevance for the UN monitoring and evaluation system, from selected evaluations

| Evaluation | Recommendations and Lessons/Learned |
|--|--|
| UNDAF Evaluability Assessment, 2006 | Recommendation 2: Drop the requirement for UNCTs to commission UNDAF evaluations. |
| UNDAF Evaluability Assessment, 2006 | Recommendation 3: Establish what the likely demand for evaluative evidence actually is. |
| UNDAF Evaluability Assessment, 2006 | Recommendation 4: Task UN evaluation functions to carry out UNDAF evaluations. |
| UNDAF Evaluability Assessment, 2006 | Recommendation 6: Establish the principle that monitoring from the level of the CP Outcome downwards should be the responsibility of the individual implementing agencies and of national goals should lie with the partner government. |
| UNDAF Evaluability Assessment, 2006 | Recommendation 7: Focus the UNDAF monitoring framework and the M&E plan on what the added value of a coherent and coordinated UN country programme would be to delivery of national goals. |
| DaO Evaluation, 2012 | Recommendation 5: Mechanisms tasked with independent system-wide evaluation should periodically assess the performance of system-wide approaches such as Delivering as One. There is also a need for strengthening system-wide monitoring and evaluation capacities in programme countries and among UN field staff. |
| OIOS MDG evaluation, 2015 | Lesson 1: The United Nations System MDG monitoring and evaluation framework consists of a variety of components which have evolved over time |
| OIOS MDG evaluation, 2015 | Lesson 2: Clear monitoring and evaluation objectives, roles/responsibilities and coordination mechanisms need to be established at the onset |
| OIOS MDG evaluation, 2015 | Lesson 3: A group which functions like the Inter-agency and Expert Group on MDG Indicators can play an important expert and consolidating role with regard to development goal indicators |
| OIOS MDG evaluation, 2015 | Lesson 4: Monitoring activities need to be sufficient in terms of coverage, disaggregation of data and timeliness |
| OIOS MDG evaluation, 2015 | Lesson 5: A fully developed strategy to support national statistical, M&E capacity development needs to be in place; the strategy needs to include multilateral and bilateral support, as well as a resource mobilisation plan |
| OIOS MDG evaluation, 2015 | Lesson 6: Monitoring information needs to be sufficiently accessible |
| OIOS MDG evaluation, 2015 | Lesson 7: Provision for rigorous evaluation on the achievement of progress is needed |
| OIOS MDG evaluation, 2015 | Lesson 8: When differences exist in stakeholder views of accountability, this becomes relevant in efforts to monitor and evaluate effectively |

| Evaluation | Recommendations and Lessons/Learned | | | | |
|---------------------------|---|--|--|--|--|
| OIOS MDG evaluation, | In conjunction with upcoming 2015 SDG Summit Member State | | | | |
| 2015 | deliberations, the S-G should formulate an overarching strategy and action | | | | |
| | plan to support coherent, coordinated monitoring and evaluation of | | | | |
| | achievement toward the SDGs. The goal of this overarching plan should be to | | | | |
| | enable him to provide member State decision makers with coherent, useful | | | | |
| | monitoring and evaluation information that can be utilized in SDG mid-course | | | | |
| | correction-related decision-making. | | | | |
| | Consideration should be given to the need for the following: | | | | |
| | A formal sustainable development goals monitoring and evaluation | | | | |
| | framework that promotes United Nations system-wide coherence | | | | |
| | Monitoring and evaluation information that can feed into Member State | | | | |
| | scheduled decision-making at the most-timely and useful junctures | | | | |
| | Supporting national monitoring and evaluation capacity development | | | | |
| | • A more structured and rigorous approach to evaluation, including as a means | | | | |
| | to synthesize monitoring and other data in a manner that responds to | | | | |
| | stakeholders' needs at the decision-making layers that apply to fulfilment of | | | | |
| | the prospective sustainable development goals. | | | | |
| | • Indicator of achievement: an overarching plan for monitoring and evaluation | | | | |
| | of the sustainable development goals is in place | | | | |
| Source: listed evaluation | | | | | |
| reports | | | | | |

Annex 7, Agenda 2030, Paragraph 74, on Follow-up and review processes

Follow-up and review processes at all levels will be guided by the following principles:

- (a) They will be voluntary and country-led, will take into account different national realities, capacities and levels of development and will respect policy space and priorities. As national ownership is key to achieving sustainable development, the outcome from national-level processes will be the foundation for reviews at the regional and global levels, given that the global review will be primarily based on national official data sources.
- (b) They will track progress in implementing the universal Goals and targets, including the means of implementation, in all countries in a manner which respects their universal, integrated and interrelated nature and the three dimensions of sustainable development.
- (c) They will maintain a longer-term orientation, identify achievements, challenges, gaps and critical success factors and support countries in making informed policy choices. They will help to mobilize the necessary means of implementation and partnerships, support the identification of solutions and best practices and promote the coordination and effectiveness of the international development system.
- (d) They will be open, inclusive, participatory and transparent for all people and will support reporting by all relevant stakeholders.
- (e) They will be people-centred, gender-sensitive, respect human rights and have a particular focus on the poorest, most vulnerable and those furthest behind.
- (f) They will build on existing platforms and processes, where these exist, avoid duplication and respond to national circumstances, capacities, needs and priorities. They will evolve over time, taking into account emerging issues and the development of new methodologies, and will minimize the reporting burden on national administrations.
- (g) They will be rigorous and based on evidence, informed by country-led evaluations and data which is high-quality, accessible, timely, reliable and disaggregated by income, sex, age, race, ethnicity, migration status, disability and geographic location and other characteristics relevant in national contexts.
- (h) They will require enhanced capacity-building support for developing countries, including the strengthening of national data systems and evaluation programmes, particularly in African countries, least developed countries, small island developing States, landlocked developing countries and middle-income countries.
- (i) They will benefit from the active support of the United Nations system and other multilateral institutions.

Annex 8, The Bangkok Declaration

In moving forward in support of national evaluation capacity, we recognize the following types of efforts and initiatives as among options that warrant consideration:

- 1 Conduct of country-level 'SDG evaluation needs' reviews and diagnostic studies
- 2 Evaluability assessments pertaining to individual country or sector SDG goals and targets
- 3 Fostering of evaluation as component of national governance and public sector management reform
- 4 Establishing national evaluation legal frameworks legislation and policies
- 5 Developing clear national and local sub-national level mechanism for independent evaluation of progress against the SDGs
- 6 Assigning resources (a percentage of the initiatives' costs) for the conduct of evaluations when realigning national plans with the SDGs and when designing/approving projects/programmes/policies
- 7 Strengthening national and local data systems to monitor SDG progress
- 8 Establishment of frameworks of formal competencies and professional evaluation standards
- 9 Establishing evaluation training programmes within academic and public sector professional training institutions
- 10 Creating opportunities for local, young and emerging evaluators
- 11 Developing systems to promote transparent follow-up of evaluations recommendation
- 12 Support to national, regional and global evaluation professional organizations
- 13 Support for international forums of exchange between users and producers of evaluation, via the right of access to information, including regional workshops and web-based platforms for knowledge management

Annex 9, Final list of proposed Sustainable Development Goal indicators, as approved by the UN Statistical Commissions in March 2016⁴

| Goals from Agenda 2030 | Targets from Agenda 2030 | Indicators |
|--------------------------------|---|--|
| | | |
| | | |
| Goal 1. End poverty in all its | s forms everywhere | |
| | 1.1 By 2030, eradicate extreme poverty for all people everywhere, currently measured as people living on less than \$1.25 a day | 1.1.1 Proportion of population below the international poverty line, by sex, age, employment status and geographical location (urban/rural) |
| | 1.2 By 2030, reduce at least by half the proportion of men, women and children of all ages living in poverty in all its dimensions according to national definitions | 1.2.1 Proportion of population living below the national poverty line, by sex and age |
| | | 1.2.2 Proportion of men, women and children of all ages living in poverty in all its dimensions according to national definitions |
| | 1.3 Implement nationally appropriate social protection systems and measures for all, including floors, and by 2030 achieve substantial coverage of the poor and the vulnerable | 1.3.1 Proportion of population covered by social protection floors/systems, by sex, distinguishing children, unemployed persons, older persons, persons with disabilities, pregnant women, newborns, work-injury victims and the poor and the vulnerable |
| | 1.4 By 2030, ensure that all men and women, in particular the poor and the vulnerable, have equal rights to economic resources, as well as access to basic services, ownership and control over land and other forms of property, inheritance, natural resources, appropriate new technology and financial services, including microfinance | 1.4.1 Proportion of population living in households with access to basic services |

⁴ Footnotes not included

| | 1.5 By 2030, build the resilience of the poor and those in vulnerable situations and reduce their exposure and vulnerability to climate-related extreme events and other economic, social and environmental shocks and disasters | 1.4.2 Proportion of total adult population with secure tenure rights to land, with legally recognized documentation and who perceive their rights to land as secure, by sex and by type of tenure 1.5.1 Number of deaths, missing persons and persons affected by disaster per 100,000 people 1.5.2 Direct disaster economic loss in |
|-----------------------------|---|--|
| | | relation to global gross domestic product (GDP) 1.5.3 Number of countries with national |
| | 1.a Ensure significant mobilization of resources from a variety of sources, including through enhanced development cooperation, in order to provide adequate and predictable means for developing countries, in particular least developed countries, to implement programmes and policies to end poverty in all its dimensions | and local disaster risk reduction strategies 1.a.1 Proportion of resources allocated by the government directly to poverty reduction programmes |
| | | 1.a.2 Proportion of total government spending on essential services (education, health and social protection) |
| | 1.b Create sound policy frameworks at the national, regional and international levels, based on pro-poor and gender-sensitive development strategies, to support accelerated investment in poverty eradication actions | 1.b.1 Proportion of government recurrent and capital spending to sectors that disproportionately benefit women, the poor and vulnerable groups |
| Goal 2. End hunger, achieve | food security and improved nutrition and promote sustainable agriculture | |
| | 2.1 By 2030, end hunger and ensure access by all people, in particular the poor and people in vulnerable situations, including infants, to safe, nutritious and sufficient food all year round | 2.1.1 Prevalence of undernourishment |
| | | 2.1.2 Prevalence of moderate or severe food insecurity in the population, based on the Food Insecurity Experience Scale (FIES) |
| | 2.2 By 2030, end all forms of malnutrition, including achieving, by 2025, the internationally agreed targets on stunting and wasting in children under 5 years of age, and address the nutritional needs of adolescent girls, pregnant and lactating women and older persons | 2.2.1 Prevalence of stunting (height for age <-2 standard deviation from the median of the World Health Organization (WHO) Child Growth Standards) among children under 5 years of age |

| | 2.2.2 Prevalence of malnutrition (weight for height >+2 or <-2 standard deviation from the median of the WHO Child Growth Standards) among children under 5 years of age, by type (wasting and overweight) |
|---|--|
| 2.3 By 2030, double the agricultural productivity and incomes of small-scale food producers, in particular women, indigenous peoples, family farmers, pastoralists and fishers, including through secure and equal access to land, other productive resources and inputs, knowledge, financial services, markets and opportunities for value addition and non-farm employment | 2.3.1 Volume of production per labour unit by classes of farming/pastoral/forestry enterprise size |
| | 2.3.2 Average income of small-scale food producers by sex and indigenous status |
| 2.4 By 2030, ensure sustainable food production systems and implement resilient agricultural practices that increase productivity and production, that help maintain ecosystems, that strengthen capacity for adaptation to climate change, extreme weather, drought, flooding and other disasters and that progressively improve land and soil quality | 2.4.1 Proportion of agricultural area under productive and sustainable agriculture |
| 2.5 By 2020, maintain the genetic diversity of seeds, cultivated plants and farmed and domesticated animals and their related wild species, including through soundly managed and diversified seed and plant banks at the national, regional and international levels, and promote access to and fair and equitable sharing of benefits arising from the utilization of genetic resources and associated traditional knowledge, as internationally agreed | 2.5.1 Number of plant and animal genetic resources for food and agriculture secured in either medium or long-term conservation facilities |
| | 2.5.2 Proportion of local breeds classified as being at risk, not-at-risk or at unknown level of risk of extinction |
| 2.a Increase investment, including through enhanced international cooperation, in rural infrastructure, agricultural research and extension services, technology development and plant and livestock gene banks in order to enhance agricultural productive capacity in developing countries, in particular least developed countries | 2.a.1 The agriculture orientation index for government expenditures |
| | 2.a.2 Total official flows (official development assistance plus other official flows) to the agriculture sector |
| 2.b Correct and prevent trade restrictions and distortions in world agricultural markets, including through the parallel elimination of all forms of agricultural export subsidies and all export measures with equivalent effect, in accordance with the mandate of the Doha Development Round | 2.b.1 Producer Support Estimate |
| | 2.b.2 Agricultural export subsidies |

| | 2.c Adopt measures to ensure the proper functioning of food commodity markets and their derivatives and facilitate timely access to market information, including on food reserves, in order to help limit extreme food price volatility | 2.c.1 Indicator of food price anomalies |
|------------------------------|---|--|
| Goal 3. Ensure healthy lives | s and promote well-being for all at all ages | |
| | 3.1 By 2030, reduce the global maternal mortality ratio to less than 70 per 100,000 live births | 3.1.1 Maternal mortality ratio |
| | | 3.1.2 Proportion of births attended by skilled health personnel |
| | 3.2 By 2030, end preventable deaths of newborns and children under 5 years of age, with all countries aiming to reduce neonatal mortality to at least as low as 12 per 1,000 live births and under-5 mortality to at least as low as 25 per 1,000 live births | 3.2.1 Under-five mortality rate |
| | | 3.2.2 Neonatal mortality rate |
| | 3.3 By 2030, end the epidemics of AIDS, tuberculosis, malaria and neglected tropical diseases and combat hepatitis, water-borne diseases and other communicable diseases | 3.3.1 Number of new HIV infections per 1,000 uninfected population, by sex, age and key populations |
| | | 3.3.2 Tuberculosis incidence per 1,000 population |
| | | 3.3.3 Malaria incidence per 1,000 population |
| | | 3.3.4 Hepatitis B incidence per 100,000 population |
| | | 3.3.5 Number of people requiring interventions against neglected tropical diseases |
| | 3.4 By 2030, reduce by one third premature mortality from non-communicable diseases through prevention and treatment and promote mental health and well-being | 3.4.1 Mortality rate attributed to cardiovascular disease, cancer, diabetes or chronic respiratory disease |
| | 3.5 Strengthen the prevention and treatment of substance abuse, including narcotic drug abuse and harmful use of alcohol | 3.4.2 Suicide mortality rate 3.5.1 Coverage of treatment interventions (pharmacological, psychosocial and rehabilitation and aftercare services) for substance use disorders |
| | | 3.5.2 Harmful use of alcohol, defined according to the national context as alcohol per capita consumption (aged 15 years and older) within a calendar year in litres of pure alcohol |

| 3.6 By 2020, halve the number of global deaths and injuries from road traffic accidents | 3.6.1 Death rate due to road traffic injuries |
|--|---|
| 3.7 By 2030, ensure universal access to sexual and reproductive health-care services, | 3.7.1 Proportion of women of |
| including for family planning, information and education, and the integration of | reproductive age (aged 15-49 years) who |
| reproductive health into national strategies and programmes | have their need for family planning satisfied with modern methods |
| | 3.7.2 Adolescent birth rate (aged 10-14 |
| | years; aged 15-19 years) per 1,000 |
| | women in that age group |
| 3.8 Achieve universal health coverage, including financial risk protection, access to quality | 3.8.1 Coverage of essential health |
| essential health-care services and access to safe, effective, quality and affordable essential | services (defined as the average coverage |
| medicines and vaccines for all | of essential services based on tracer |
| | interventions that include reproductive, |
| | maternal, newborn and child health, |
| | infectious diseases, non-communicable |
| | diseases and service capacity and access, |
| | among the general and the most |
| | disadvantaged population) |
| | 3.8.2 Number of people covered by |
| | health insurance or a public health system |
| | per 1,000 population |
| 3.9 By 2030, substantially reduce the number of deaths and illnesses from hazardous | 3.9.1 Mortality rate attributed to |
| chemicals and air, water and soil pollution and contamination | household and ambient air pollution |
| | 3.9.2 Mortality rate attributed to unsafe |
| | water, unsafe sanitation and lack of |
| | hygiene (exposure to unsafe Water, |
| | Sanitation and Hygiene for All (WASH) |
| | services) |
| | 3.9.3 Mortality rate attributed to |
| | unintentional poisoning |
| 3.a Strengthen the implementation of the World Health Organization Framework | 3.a.1 Age-standardized prevalence of |
| Convention on Tobacco Control in all countries, as appropriate | current tobacco use among persons aged |
| | 15 years and older |
| 3.b Support the research and development of vaccines and medicines for the communicable | 3.b.1 Proportion of the population with |
| and non-communicable diseases that primarily affect developing countries, provide access | access to affordable medicines and |
| to affordable essential medicines and vaccines, in accordance with the Doha Declaration on | vaccines on a sustainable basis |
| the TRIPS Agreement and Public Health, which affirms the right of developing countries to | |
| use to the full the provisions in the Agreement on Trade-Related Aspects of Intellectual | |

| | Property Rights regarding flexibilities to protect public health, and, in particular, provide access to medicines for all | |
|-----------------------------|---|---|
| | | 3.b.2 Total net official development assistance to medical research and basic health sectors |
| | 3.c Substantially increase health financing and the recruitment, development, training and retention of the health workforce in developing countries, especially in least developed countries and small island developing States | 3.c.1 Health worker density and distribution |
| | 3.d Strengthen the capacity of all countries, in particular developing countries, for early warning, risk reduction and management of national and global health risks | 3.d.1 International Health Regulations (IHR) capacity and health emergency preparedness |
| Goal 4. Ensure inclusive an | nd equitable quality education and promote lifelong learning opportunities for all | |
| | 4.1 By 2030, ensure that all girls and boys complete free, equitable and quality primary and secondary education leading to relevant and effective learning outcomes | 4.1.1 Proportion of children and young people: (a) in grades 2/3; (b) at the end of primary; and (c) at the end of lower secondary achieving at least a minimum proficiency level in (i) reading and (ii) mathematics, by sex |
| | 4.2 By 2030, ensure that all girls and boys have access to quality early childhood development, care and pre-primary education so that they are ready for primary education | 4.2.1 Proportion of children under 5 years of age who are developmentally on track in health, learning and psychosocial wellbeing, by sex |
| | | 4.2.2 Participation rate in organized learning (one year before the official primary entry age), by sex |
| | 4.3 By 2030, ensure equal access for all women and men to affordable and quality technical, vocational and tertiary education, including university | 4.3.1 Participation rate of youth and adults in formal and non-formal education and training in the previous 12 months, by sex |
| | 4.4 By 2030, substantially increase the number of youth and adults who have relevant skills, including technical and vocational skills, for employment, decent jobs and entrepreneurship | 4.4.1 Proportion of youth and adults with information and communications technology (ICT) skills, by type of skill |
| | 4.5 By 2030, eliminate gender disparities in education and ensure equal access to all levels of education and vocational training for the vulnerable, including persons with disabilities, indigenous peoples and children in vulnerable situations | 4.5.1 Parity indices (female/male, rural/urban, bottom/top wealth quintile and others such as disability status, indigenous peoples and conflict-affected as data become available) |

| | 4.6 By 2030, ensure that all youth and a substantial proportion of adults, both men and women, achieve literacy and numeracy | 4.6.1 Percentage of population in a given age group achieving at least a fixed level of proficiency in functional (a) literacy and (b) numeracy skills, by sex |
|-----------------------------|---|---|
| | 4.7 By 2030, ensure that all learners acquire the knowledge and skills needed to promote sustainable development, including, among others, through education for sustainable development and sustainable lifestyles, human rights, gender equality, promotion of a culture of peace and non-violence, global citizenship and appreciation of cultural diversity and of culture's contribution to sustainable development | 4.7.1 Extent to which (i) global citizenship education and (ii) education for sustainable development, including gender equality and human rights, are mainstreamed at all levels in: (a) national education policies, (b) curricula, (c) teacher education and (d) student assessment |
| | 4.a Build and upgrade education facilities that are child, disability and gender sensitive and provide safe, non-violent, inclusive and effective learning environments for all | 4.a.1 Proportion of schools with access to: (a) electricity; (b) the Internet for pedagogical purposes; (c) computers for pedagogical purposes; (d) adapted infrastructure and materials for students with disabilities; (e) basic drinking water; (f) single-sex basic sanitation facilities; and (g) basic handwashing facilities (as per the WASH indicator definitions) |
| | 4.b By 2020, substantially expand globally the number of scholarships available to developing countries, in particular least developed countries, small island developing States and African countries, for enrolment in higher education, including vocational training and information and communications technology, technical, engineering and scientific programmes, in developed countries and other developing countries | 4.b.1 Volume of official development assistance flows for scholarships by sector and type of study |
| | 4.c By 2030, substantially increase the supply of qualified teachers, including through international cooperation for teacher training in developing countries, especially least developed countries and small island developing States | 4.c.1 Proportion of teachers in: (a) pre- primary; (b) primary; (c) lower secondary; and (d) upper secondary education who have received at least the minimum organized teacher training (e.g. pedagogical training) pre-service or in- service required for teaching at the relevant level in a given country |
| Goal 5. Achieve gender equa | Goal 5. Achieve gender equality and empower all women and girls | |
| | 5.1 End all forms of discrimination against all women and girls everywhere | 5.1.1 Whether or not legal frameworks are in place to promote, enforce and |

| | monitor equality and non-discrimination on the basis of sex |
|--|---|
| 5.2 Eliminate all forms of violence against all women and girls in the public and private spheres, including trafficking and sexual and other types of exploitation | 5.2.1 Proportion of ever-partnered women and girls aged 15 years and older subjected to physical, sexual or psychological violence by a current or former intimate partner in the previous 12 months, by form of violence and by age 5.2.2 Proportion of women and girls aged |
| | 15 years and older subjected to sexual violence by persons other than an intimate partner in the previous 12 months, by age and place of occurrence |
| 5.3 Eliminate all harmful practices, such as child, early and forced marriage and female genital mutilation | 5.3.1 Proportion of women aged 20-24 years who were married or in a union before age 15 and before age 18 |
| | 5.3.2 Proportion of girls and women aged 15-49 years who have undergone female genital mutilation/cutting, by age |
| 5.4 Recognize and value unpaid care and domestic work through the provision of public services, infrastructure and social protection policies and the promotion of shared responsibility within the household and the family as nationally appropriate | 5.4.1 Proportion of time spent on unpaid domestic and care work, by sex, age and location |
| 5.5 Ensure women's full and effective participation and equal opportunities for leadership at all levels of decision-making in political, economic and public life | 5.5.1 Proportion of seats held by women in national parliaments and local governments 5.5.2 Proportion of women in managerial |
| 5.6 Ensure universal access to sexual and reproductive health and reproductive rights as agreed in accordance with the Programme of Action of the International Conference on Population and Development and the Beijing Platform for Action and the outcome documents of their review conferences | positions 5.6.1 Proportion of women aged 15-49 years who make their own informed decisions regarding sexual relations, contraceptive use and reproductive health care |
| | 5.6.2 Number of countries with laws and regulations that guarantee women aged 15-49 years access to sexual and reproductive health care, information and education |

| | 5.a Undertake reforms to give women equal rights to economic resources, as well as access to ownership and control over land and other forms of property, financial services, inheritance and natural resources, in accordance with national laws | 5.a.1 (a) Proportion of total agricultural population with ownership or secure rights over agricultural land, by sex; and (b) share of women among owners or rights-bearers of agricultural land, by type of tenure 5.a.2 Proportion of countries where the legal framework (including customary |
|-------------------------------|--|---|
| | | law) guarantees women's equal rights to land ownership and/or control |
| | 5.b Enhance the use of enabling technology, in particular information and communications technology, to promote the empowerment of women | 5.b.1 Proportion of individuals who own a mobile telephone, by sex |
| | 5.c Adopt and strengthen sound policies and enforceable legislation for the promotion of gender equality and the empowerment of all women and girls at all levels | 5.c.1 Proportion of countries with systems to track and make public allocations for gender equality and women's empowerment |
| Goal 6. Ensure availability a | nd sustainable management of water and sanitation for all | - |
| | 6.1 By 2030, achieve universal and equitable access to safe and affordable drinking water for all | 6.1.1 Proportion of population using safely managed drinking water services |
| | 6.2 By 2030, achieve access to adequate and equitable sanitation and hygiene for all and end open defecation, paying special attention to the needs of women and girls and those in vulnerable situations | 6.2.1 Proportion of population using safely managed sanitation services, including a hand-washing facility with soap and water |
| | 6.3 By 2030, improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials, halving the proportion of untreated wastewater and substantially increasing recycling and safe reuse globally | 6.3.1 Proportion of wastewater safely treated |
| | | 6.3.2 Proportion of bodies of water with good ambient water quality |
| | 6.4 By 2030, substantially increase water-use efficiency across all sectors and ensure sustainable withdrawals and supply of freshwater to address water scarcity and substantially reduce the number of people suffering from water scarcity | 6.4.1 Change in water-use efficiency over time |
| | | 6.4.2 Level of water stress: freshwater withdrawal as a proportion of available freshwater resources |

| | 6.5 By 2030, implement integrated water resources management at all levels, including through transboundary cooperation as appropriate | 6.5.1 Degree of integrated water resources management implementation (0-100) |
|-------------------------------|---|---|
| | | 6.5.2 Proportion of transboundary basin area with an operational arrangement for water cooperation |
| | 6.6 By 2020, protect and restore water-related ecosystems, including mountains, forests, wetlands, rivers, aquifers and lakes | 6.6.1 Change in the extent of water-related ecosystems over time |
| | 6.a By 2030, expand international cooperation and capacity-building support to developing countries in water- and sanitation-related activities and programmes, including water harvesting, desalination, water efficiency, wastewater treatment, recycling and reuse technologies | 6.a.1 Amount of water- and sanitation- related official development assistance that is part of a government-coordinated spending plan |
| | 6.b Support and strengthen the participation of local communities in improving water and sanitation management | 6.b.1 Proportion of local administrative units with established and operational policies and procedures for participation of local communities in water and sanitation management |
| Goal 7. Ensure access to affe | ordable, reliable, sustainable and modern energy for all | |
| | 7.1 By 2030, ensure universal access to affordable, reliable and modern energy services | 7.1.1 Proportion of population with access to electricity |
| | | 7.1.2 Proportion of population with primary reliance on clean fuels and technology |
| | 7.2 By 2030, increase substantially the share of renewable energy in the global energy mix | 7.2.1 Renewable energy share in the total final energy consumption |
| | 7.3 By 2030, double the global rate of improvement in energy efficiency | 7.3.1 Energy intensity measured in terms of primary energy and GDP |
| | 7.a By 2030, enhance international cooperation to facilitate access to clean energy research and technology, including renewable energy, energy efficiency and advanced and cleaner fossil-fuel technology, and promote investment in energy infrastructure and clean energy technology | 7.a.1 Mobilized amount of United States dollars per year starting in 2020 accountable towards the \$100 billion commitment |

| | 7.b By 2030, expand infrastructure and upgrade technology for supplying modern and sustainable energy services for all in developing countries, in particular least developed countries, small island developing States and landlocked developing countries, in accordance with their respective programmes of support | 7.b.1 Investments in energy efficiency as a percentage of GDP and the amount of foreign direct investment in financial transfer for infrastructure and technology to sustainable development services |
|-------------------------------|--|---|
| Goal 8. Promote sustained, in | clusive and sustainable economic growth, full and productive employment and decent work | k for all |
| | 8.1 Sustain per capita economic growth in accordance with national circumstances and, in particular, at least 7 per cent gross domestic product growth per annum in the least developed countries | 8.1.1 Annual growth rate of real GDP per capita |
| | 8.2 Achieve higher levels of economic productivity through diversification, technological upgrading and innovation, including through a focus on high-value added and labour-intensive sectors | 8.2.1 Annual growth rate of real GDP per employed person |
| | 8.3 Promote development-oriented policies that support productive activities, decent job creation, entrepreneurship, creativity and innovation, and encourage the formalization and growth of micro-, small- and medium-sized enterprises, including through access to financial services | 8.3.1 Proportion of informal employment in non-agriculture employment, by sex |
| | 8.4 Improve progressively, through 2030, global resource efficiency in consumption and production and endeavour to decouple economic growth from environmental degradation, in accordance with the 10-Year Framework of Programmes on Sustainable Consumption and Production, with developed countries taking the lead | 8.4.1 Material footprint, material footprint per capita, and material footprint per GDP |
| | | 8.4.2 Domestic material consumption, domestic material consumption per capita, and domestic material consumption per GDP |
| | 8.5 By 2030, achieve full and productive employment and decent work for all women and men, including for young people and persons with disabilities, and equal pay for work of equal value | 8.5.1 Average hourly earnings of female and male employees, by occupation, age and persons with disabilities |
| | | 8.5.2 Unemployment rate, by sex, age and persons with disabilities |
| 1 | 8.6 By 2020, substantially reduce the proportion of youth not in employment, education or training | 8.6.1 Proportion of youth (aged 15-24 years) not in education, employment or training |
| | 8.7 Take immediate and effective measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of | 8.7.1 Proportion and number of children aged 5-17 years engaged in child labour, by sex and age |

| | child labour, including recruitment and use of child soldiers, and by 2025 end child labour in all its forms | |
|--------------------------------|---|--|
| | 8.8 Protect labour rights and promote safe and secure working environments for all workers, including migrant workers, in particular women migrants, and those in precarious employment | 8.8.1 Frequency rates of fatal and non- fatal occupational injuries, by sex and migrant status |
| | | 8.8.2 Increase in national compliance of labour rights (freedom of association and collective bargaining) based on International Labour Organization (ILO) textual sources and national legislation, by sex and migrant status |
| | 8.9 By 2030, devise and implement policies to promote sustainable tourism that creates jobs and promotes local culture and products | 8.9.1 Tourism direct GDP as a proportion of total GDP and in growth rate 8.9.2 Number of jobs in tourism industries as a proportion of total jobs and growth rate of jobs, by sex |
| | 8.10 Strengthen the capacity of domestic financial institutions to encourage and expand access to banking, insurance and financial services for all | 8.10.1 Number of commercial bank branches and automated teller machines (ATMs) per 100,000 adults |
| | | 8.10.2 Proportion of adults (15 years and older) with an account at a bank or other financial institution or with a mobilemoney-service provider |
| | 8.a Increase Aid for Trade support for developing countries, in particular least developed countries, including through the Enhanced Integrated Framework for Trade-related Technical Assistance to Least Developed Countries | 8.a.1 Aid for Trade commitments and disbursements |
| | 8.b By 2020, develop and operationalize a global strategy for youth employment and implement the Global Jobs Pact of the International Labour Organization | 8.b.1 Total government spending in social protection and employment programmes as a percentage of the national budgets and GDP |
| Goal 9. Build resilient infras | structure, promote inclusive and sustainable industrialization and foster innovation | 1 |
| | 9.1 Develop quality, reliable, sustainable and resilient infrastructure, including regional and transborder infrastructure, to support economic development and human well-being, with a focus on affordable and equitable access for all | 9.1.1 Share of the rural population who live within 2 km of an all-season road |

| | | 9.1.2 Passenger and freight volumes, by mode of transport |
|----------------------------|--|---|
| | 9.2 Promote inclusive and sustainable industrialization and, by 2030, significantly raise industry's share of employment and gross domestic product, in line with national circumstances, and double its share in least developed countries | 9.2.1 Manufacturing value added as a percentage of GDP and per capita |
| | | 9.2.2 Manufacturing employment as a percentage of total employment |
| | 9.3 Increase the access of small-scale industrial and other enterprises, in particular in developing countries, to financial services, including affordable credit, and their integration into value chains and markets | 9.3.1 Percentage share of small-scale industries in total industry value added |
| | | 9.3.2 Percentage of small-scale industries with a loan or line of credit |
| | 9.4 By 2030, upgrade infrastructure and retrofit industries to make them sustainable, with increased resource-use efficiency and greater adoption of clean and environmentally sound technologies and industrial processes, with all countries taking action in accordance with their respective capabilities | 9.4.1 CO2 emission per unit of value added |
| | 9.5 Enhance scientific research, upgrade the technological capabilities of industrial sectors in all countries, in particular developing countries, including, by 2030, encouraging innovation and substantially increasing the number of research and development workers per 1 million people and public and private research and development spending | 9.5.1 Research and development expenditure as a percentage of GDP |
| | | 9.5.2 Researchers (in full-time equivalent) per million inhabitants |
| | 9.a Facilitate sustainable and resilient infrastructure development in developing countries through enhanced financial, technological and technical support to African countries, least developed countries, landlocked developing countries and small island developing States | 9.a.1 Total official international support (official development assistance plus other official flows) to infrastructure |
| | 9.b Support domestic technology development, research and innovation in developing countries, including by ensuring a conducive policy environment for, inter alia, industrial diversification and value addition to commodities | 9.b.1 Proportion of medium and high- tech industry value added in total value added |
| | 9.c Significantly increase access to information and communications technology and strive to provide universal and affordable access to the Internet in least developed countries by 2020 | 9.c.1 Percentage of population covered by a mobile network, by technology |
| Goal 10. Reduce inequality | within and among countries | |
| | 10.1 By 2030, progressively achieve and sustain income growth of the bottom 40 per cent of the population at a rate higher than the national average | 10.1.1 Growth rates of household expenditure or income per capita among the bottom 40 per cent of the population and the total population |

| | 10.2 By 2030, empower and promote the social, economic and political inclusion of all, irrespective of age, sex, disability, race, ethnicity, origin, religion or economic or other status | 10.2.1 Proportion of people living below 50 per cent of median income, disaggregated by age group, sex and persons with disabilities |
|-----------------------------|---|---|
| | 10.3 Ensure equal opportunity and reduce inequalities of outcome, including by eliminating discriminatory laws, policies and practices and promoting appropriate legislation, policies and action in this regard | 10.3.1 Proportion of the population reporting having personally felt discriminated against or harassed within the last 12 months on the basis of a ground of discrimination prohibited under international human rights law |
| | 10.4 Adopt policies, especially fiscal, wage and social protection policies, and progressively achieve greater equality | 10.4.1 Labour share of GDP, comprising wages and social protection transfers |
| | 10.5 Improve the regulation and monitoring of global financial markets and institutions and strengthen the implementation of such regulations | 10.5.1 Financial Soundness Indicators |
| | 10.6 Ensure enhanced representation and voice for developing countries in decision-making in global international economic and financial institutions in order to deliver more effective, credible, accountable and legitimate institutions | 10.6.1 Percentage of members and voting rights of developing countries in international organizations |
| | 10.7 Facilitate orderly, safe, regular and responsible migration and mobility of people, including through the implementation of planned and well-managed migration policies | 10.7.1 Recruitment cost borne by employee as a percentage of yearly income earned in country of destination |
| | | 10.7.2 Number of countries that have implemented well-managed migration policies |
| | 10.a Implement the principle of special and differential treatment for developing countries, in particular least developed countries, in accordance with World Trade Organization agreements | 10.a.1 Proportion of tariff lines applied to imports from least developed countries and developing countries with zero-tariff |
| | 10.b Encourage official development assistance and financial flows, including foreign direct investment, to States where the need is greatest, in particular least developed countries, African countries, small island developing States and landlocked developing countries, in accordance with their national plans and programmes | 10.b.1 Total resource flows for development, by recipient and donor countries and type of flow (e.g. official development assistance, foreign direct investment and other flows) |
| | 10.c By 2030, reduce to less than 3 per cent the transaction costs of migrant remittances and eliminate remittance corridors with costs higher than 5 per cent | 10.c.1 Remittance costs as a proportion of the amount remitted |
| Goal 11. Make cities and hu | man settlements inclusive, safe, resilient and sustainable | |
| | 11.1 By 2030, ensure access for all to adequate, safe and affordable housing and basic services and upgrade slums | 11.1.1 Proportion of urban population living in slums, informal settlements or inadequate housing |

| 11.2 By 2030, provide access to safe, affordable, accessible and sustainable transport systems for all, improving road safety, notably by expanding public transport, with special attention to the needs of those in vulnerable situations, women, children, persons with disabilities and older persons | 11.2.1 Proportion of population that has convenient access to public transport, by age, sex and persons with disabilities |
|---|---|
| 11.3 By 2030, enhance inclusive and sustainable urbanization and capacity for participatory, integrated and sustainable human settlement planning and management in all countries | 11.3.1 Ratio of land consumption rate to population growth rate |
| | 11.3.2 Percentage of cities with a direct participation structure of civil society in urban planning and management that operate regularly and democratically |
| 11.4 Strengthen efforts to protect and safeguard the world's cultural and natural heritage | 11.4.1 Total expenditure (public and private) per capita spent on the preservation, protection and conservation of all cultural and natural heritage, by type of heritage (cultural, natural, mixed and World Heritage Centre designation), level of government (national, regional and local/municipal), type of expenditure (operating expenditure/investment) and type of private funding (donations in kind, private non-profit sector and sponsorship) |
| 11.5 By 2030, significantly reduce the number of deaths and the number of people affected and substantially decrease the direct economic losses relative to global gross domestic product caused by disasters, including water-related disasters, with a focus on protecting the poor and people in vulnerable situations | 11.5.1 Number of deaths, missing persons and persons affected by disaster per 100,000 peoplea |
| | 11.5.2 Direct disaster economic loss in relation to global GDP, including disaster damage to critical infrastructure and disruption of basic servicesa |
| 11.6 By 2030, reduce the adverse per capita environmental impact of cities, including by paying special attention to air quality and municipal and other waste management | 11.6.1 Proportion of urban solid waste regularly collected and with adequate final discharge out of total urban solid waste generated, by cities |
| | 11.6.2 Annual mean levels of fine particulate matter (e.g. PM2.5 and PM10) in cities (population weighted) |

| | 11.7 By 2030, provide universal access to safe, inclusive and accessible, green and public spaces, in particular for women and children, older persons and persons with disabilities is open space for public use for all, by sex, age and persons with disabilities | 11.7.1 Average share of the built-up area of cities that |
|-----------------------------|---|---|
| | | 11.7.2 Proportion of persons victim of physical or sexual harassment, by sex, age, disability status and place of occurrence, in the previous 12 months |
| | 11.a Support positive economic, social and environmental links between urban, peri-urban and rural areas by strengthening national and regional development planning | 11.a.1 Proportion of population living in cities that implement urban and regional development plans integrating population projections and resource needs, by size of city |
| | 11.b By 2020, substantially increase the number of cities and human settlements adopting and implementing integrated policies and plans towards inclusion, resource efficiency, mitigation and adaptation to climate change, resilience to disasters, and develop and implement, in line with the Sendai Framework for Disaster Risk Reduction 2015-2030, holistic disaster risk management at all levels | 11.b.1 Proportion of local governments that adopt and implement local disaster risk reduction strategies in line with the Sendai Framework for Disaster Risk Reduction 2015-2030a |
| | | 11.b.2 Number of countries with national and local disaster risk reduction strategiesa |
| | 11.c Support least developed countries, including through financial and technical assistance, in building sustainable and resilient buildings utilizing local materials | 11.c.1 Proportion of financial support to the least developed countries that is allocated to the construction and retrofitting of sustainable, resilient and resource-efficient buildings utilizing local materials |
| Goal 12. Ensure sustainable | consumption and production patterns | |
| | 12.1 Implement the 10-Year Framework of Programmes on Sustainable Consumption and Production Patterns, all countries taking action, with developed countries taking the lead, taking into account the development and capabilities of developing countries | 12.1.1 Number of countries with sustainable consumption and production (SCP) national action plans or SCP mainstreamed as a priority or a target into national policies |
| | 12.2 By 2030, achieve the sustainable management and efficient use of natural resources | 12.2.1 Material footprint, material footprint per capita, and material footprint per GDP 12.2.2 Domestic material consumption, domestic material consumption per |

| | | capita, and domestic material |
|-----|--|--|
| | | consumptuon per GDP |
| | 12.3 By 2030, halve per capita global food waste at the retail and consumer levels and | 12.3.1 Global food loss index |
| | reduce food losses along production and supply chains, including post-harvest losses | |
| | 12.4 By 2020, achieve the environmentally sound management of chemicals and all wastes | 12.4.1 Number of parties to international |
| | throughout their life cycle, in accordance with agreed international frameworks, and | multilateral environmental agreements on |
| | significantly reduce their release to air, water and soil in order to minimize their adverse | hazardous waste, and other chemicals that |
| i | impacts on human health and the environment | meet their commitments and obligations |
| | | in transmitting information as required by |
| | | each relevant agreement |
| | | 12.4.2 Hazardous waste generated per |
| | | capita and proportion of hazardous waste |
| | | treated, by type of treatment |
| | 12.5 By 2030, substantially reduce waste generation through prevention, reduction, | 12.5.1 National recycling rate, tons of |
| | recycling and reuse | material recycled |
| | 12.6 Encourage companies, especially large and transnational companies, to adopt | 12.6.1 Number of companies publishing |
| | sustainable practices and to integrate sustainability information into their reporting cycle | sustainability reports |
| | 12.7 Promote public procurement practices that are sustainable, in accordance with national | 12.7.1 Number of countries implementing |
| l p | policies and priorities | sustainable public procurement policies |
| | | and action plans |
| | 12.8 By 2030, ensure that people everywhere have the relevant information and awareness | 12.8.1 Extent to which (i) global |
| f | for sustainable development and lifestyles in harmony with nature | citizenship education and (ii) education |
| | | for sustainable development (including |
| | | climate change education) are |
| | | mainstreamed in (a) national education |
| | | policies; (b) curricula; (c) teacher |
| | | education; and (d) student assessment |
| | 12.a Support developing countries to strengthen their scientific and technological capacity | 12.a.1 Amount of support to developing |
| to | to move towards more sustainable patterns of consumption and production | countries on research and development |
| | | for sustainable consumption and |
| | | production and environmentally sound |
| | | technologies |
| | 12.b Develop and implement tools to monitor sustainable development impacts for | 12.b.1 Number of sustainable tourism |
| S | sustainable tourism that creates jobs and promotes local culture and products | strategies or policies and implemented |
| | | action plans with agreed monitoring and |
| | | evaluation tools |
| | 12.c Rationalize inefficient fossil-fuel subsidies that encourage wasteful consumption by | 12.c.1 Amount of fossil-fuel subsidies per |
| r | removing market distortions, in accordance with national circumstances, including by | unit of GDP (production and |

| Goal 13. Take urgent action | restructuring taxation and phasing out those harmful subsidies, where they exist, to reflect their environmental impacts, taking fully into account the specific needs and conditions of developing countries and minimizing the possible adverse impacts on their development in a manner that protects the poor and the affected communities to combat climate change and its impacts | consumption) and as a proportion of total national expenditure on fossil fuels |
|-----------------------------|---|--|
| | 13.1 Strengthen resilience and adaptive capacity to climate-related hazards and natural disasters in all countries | 13.1.1 Number of countries with national and local disaster risk reduction strategies 13.1.2 Number of deaths, missing persons and persons affected by disaster per 100,000 people |
| | 13.2 Integrate climate change measures into national policies, strategies and planning | 13.2.1 Number of countries that have communicated the establishment or operationalization of an integrated policy/strategy/plan which increases their ability to adapt to the adverse impacts of climate change, and foster climate resilience and low greenhouse gas emissions development in a manner that does not threaten food production (including a national adaptation plan, nationally determined contribution, national communication, biennial update report or other) |
| | 13.3 Improve education, awareness-raising and human and institutional capacity on climate change mitigation, adaptation, impact reduction and early warning | 13.3.1 Number of countries that have integrated mitigation, adaptation, impact reduction and early warning into primary, secondary and tertiary curricula |
| | | 13.3.2 Number of countries that have communicated the strengthening of institutional, systemic and individual capacity-building to implement adaptation, mitigation and technology transfer, and development actions |
| | 13.a Implement the commitment undertaken by developed-country parties to the United Nations Framework Convention on Climate Change to a goal of mobilizing jointly \$100 billion annually by 2020 from all sources to address the needs of developing countries in the | 13.a.1 Mobilized amount of United States dollars per year starting in 2020 accountable towards the \$100 billion commitment |

| | context of meaningful mitigation actions and transparency on implementation and fully operationalize the Green Climate Fund through its capitalization as soon as possible | |
|-----------------------------|---|---|
| | 13.b Promote mechanisms for raising capacity for effective climate change-related planning and management in least developed countries and small island developing States, including focusing on women, youth and local and marginalized communities | 13.b.1 Number of least developed countries and small island developing States that are receiving specialized support, and amount of support, including finance, technology and capacity-building, for mechanisms for raising capacities for effective climate change-related planning and management, including focusing on women, youth and local and marginalized communities |
| Goal 14. Conserve and susta | tinably use the oceans, seas and marine resources for sustainable development | |
| | 14.1 By 2025, prevent and significantly reduce marine pollution of all kinds, in particular from land-based activities, including marine debris and nutrient pollution | 14.1.1 Index of coastal eutrophication and floating plastic debris density |
| | 14.2 By 2020, sustainably manage and protect marine and coastal ecosystems to avoid significant adverse impacts, including by strengthening their resilience, and take action for their restoration in order to achieve healthy and productive oceans | 14.2.1 Proportion of national exclusive economic zones managed using ecosystem-based approaches |
| | 14.3 Minimize and address the impacts of ocean acidification, including through enhanced scientific cooperation at all levels | 14.3.1 Average marine acidity (pH) measured at agreed suite of representative sampling stations |
| | 14.4 By 2020, effectively regulate harvesting and end overfishing, illegal, unreported and unregulated fishing and destructive fishing practices and implement science-based management plans, in order to restore fish stocks in the shortest time feasible, at least to levels that can produce maximum sustainable yield as determined by their biological characteristics | 14.4.1 Proportion of fish stocks within biologically sustainable levels |
| | 14.5 By 2020, conserve at least 10 per cent of coastal and marine areas, consistent with national and international law and based on the best available scientific information | 14.5.1 Coverage of protected areas in relation to marine areas |
| | 14.6 By 2020, prohibit certain forms of fisheries subsidies which contribute to overcapacity and overfishing, eliminate subsidies that contribute to illegal, unreported and unregulated fishing and refrain from introducing new such subsidies, recognizing that appropriate and effective special and differential treatment for developing and least developed countries should be an integral part of the World Trade Organization fisheries subsidies negotiation | 14.6.1 Progress by countries in the degree of implementation of international instruments aiming to combat illegal, unreported and unregulated fishing |
| | 14.7 By 2030, increase the economic benefits to small island developing States and least developed countries from the sustainable use of marine resources, including through sustainable management of fisheries, aquaculture and tourism | 14.7.1 Sustainable fisheries as a percentage of GDP in small island developing States, least developed countries and all countries |

| | 14.a Increase scientific knowledge, develop research capacity and transfer marine technology, taking into account the Intergovernmental Oceanographic Commission Criteria and Guidelines on the Transfer of Marine Technology, in order to improve ocean health and to enhance the contribution of marine biodiversity to the development of developing countries, in particular small island developing States and least developed countries | 14.a.1 Proportion of total research budget allocated to research in the field of marine technology |
|--|---|--|
| | 14.b Provide access for small-scale artisanal fishers to marine resources and markets | 14.b.1 Progress by countries in the degree of application of a legal/regulatory/policy/institutional framework which recognizes and protects access rights for small-scale fisheries |
| | 14.c Enhance the conservation and sustainable use of oceans and their resources by implementing international law as reflected in the United Nations Convention on the Law of the Sea, which provides the legal framework for the conservation and sustainable use of oceans and their resources, as recalled in paragraph 158 of "The future we want" | 14.c.1 Number of countries making progress in ratifying, accepting and implementing through legal, policy and institutional frameworks, ocean-related instruments that implement international law, as reflected in the United Nation Convention on the Law of the Sea, for the conservation and sustainable use of the oceans and their resources |
| Goal 15. Protect, restore and degradation and halt biodive | l promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat deser ersity loss | rtification, and halt and reverse land |
| | 15.1 By 2020, ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, in line with obligations under international agreements | 15.1.1 Forest area as a proportion of total land area |
| | | 15.1.2 Proportion of important sites for terrestrial and freshwater biodiversity that are covered by protected areas, by ecosystem type |
| | 15.2 By 2020, promote the implementation of sustainable management of all types of forests, halt deforestation, restore degraded forests and substantially increase afforestation and reforestation globally | 15.2.1 Progress towards sustainable forest management |
| | 15.3 By 2030, combat desertification, restore degraded land and soil, including land affected by desertification, drought and floods, and strive to achieve a land degradation-neutral world | 15.3.1 Proportion of land that is degraded over total land area |
| | 15.4 By 2030, ensure the conservation of mountain ecosystems, including their biodiversity, | 15.4.1 Coverage by protected areas of |

| | | 15.4.2 Mountain Green Cover Index |
|---|--|--|
| | 15.5 Take urgent and significant action to reduce the degradation of natural habitats, halt the loss of biodiversity and, by 2020, protect and prevent the extinction of threatened species | 15.5.1 Red List Index |
| | 15.6 Promote fair and equitable sharing of the benefits arising from the utilization of genetic resources and promote appropriate access to such resources, as internationally agreed | adopted legislative, administrative and policy frameworks to ensure fair and equitable sharing of benefits |
| | 15.7 Take urgent action to end poaching and trafficking of protected species of flora and fauna and address both demand and supply of illegal wildlife products | 15.7.1 Proportion of traded wildlife that was poached or illicitly trafficked |
| | 15.8 By 2020, introduce measures to prevent the introduction and significantly reduce the impact of invasive alien species on land and water ecosystems and control or eradicate the priority species | 15.8.1 Proportion of countries adopting relevant national legislation and adequately resourcing the prevention or control of invasive alien species |
| | 15.9 By 2020, integrate ecosystem and biodiversity values into national and local planning, development processes, poverty reduction strategies and accounts | 15.9.1 Progress towards national targets established in accordance with Aichi Biodiversity Target 2 of the Strategic Plan for Biodiversity 2011-2020 |
| | 15.a Mobilize and significantly increase financial resources from all sources to conserve and sustainably use biodiversity and ecosystems | 15.a.1 Official development assistance and public expenditure on conservation and sustainable use of biodiversity and ecosystems |
| | 15.b Mobilize significant resources from all sources and at all levels to finance sustainable forest management and provide adequate incentives to developing countries to advance such management, including for conservation and reforestation | 15.b.1 Official development assistance and public expenditure on conservation and sustainable use of biodiversity and ecosystems |
| | 15.c Enhance global support for efforts to combat poaching and trafficking of protected species, including by increasing the capacity of local communities to pursue sustainable livelihood opportunities | 15.c.1 Proportion of traded wildlife that was poached or illicitly trafficked |
| Goal 16. Promote peaceful an institutions at all levels | nd inclusive societies for sustainable development, provide access to justice for all and build | l effective, accountable and inclusive |
| | 16.1 Significantly reduce all forms of violence and related death rates everywhere | 16.1.1 Number of victims of intentional homicide per 100,000 population, by sex and age |
| | | 16.1.2 Conflict-related deaths per 100,000 population, by sex, age and cause |

| | 16.1.3 Proportion of population subjected |
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| | to physical, psychological or sexual |
| | violence in the previous 12 months |
| | 16.1.4 Proportion of population that feel |
| | safe walking alone around the area they |
| | live |
| 16.2 End abuse, exploitation, trafficking and all forms of violence against and torture of | 16.2.1 Proportion of children aged 1-17 |
| children | years who experienced any physical |
| | punishment and/or psychological |
| | aggression by caregivers in the past |
| | month |
| | 16.2.2 Number of victims of human |
| | trafficking per 100,000 population, by |
| | sex, age and form of exploitation |
| | 16.2.3 Proportion of young women and |
| | men aged 18-29 years who experienced |
| | sexual violence by age 18 |
| 16.3 Promote the rule of law at the national and international levels and ensure equal access | 16.3.1 Proportion of victims of violence |
| to justice for all | in the previous 12 months who reported |
| | their victimization to competent |
| | authorities or other officially recognized |
| | conflict resolution mechanisms |
| | 16.3.2 Unsentenced detainees as a |
| | proportion of overall prison population |
| 16.4 By 2030, significantly reduce illicit financial and arms flows, strengthen the recovery | 16.4.1 Total value of inward and outward |
| and return of stolen assets and combat all forms of organized crime | illicit financial flows (in current United |
| 8 | States dollars) |
| | 16.4.2 Proportion of seized small arms |
| | and light weapons that are recorded and |
| | traced, in accordance with international |
| | standards and legal instruments |
| 16.5 Substantially reduce corruption and bribery in all their forms | 16.5.1 Proportion of persons who had at |
| | least one contact with a public official |
| | and who paid a bribe to a public official, |
| | or were asked for a bribe by those public |
| | officials, during the previous 12 months |
| | 16.5.2 Proportion of businesses that had |
| | at least one contact with a public official |
| 1 | at reast one contact with a public official |

| | and that paid a bribe to a public official, or were asked for a bribe by those public officials during the previous 12 months |
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| 16.6 Develop effective, accountable and transparent institutions at all levels | 16.6.1 Primary government expenditures as a proportion of original approved budget, by sector (or by budget codes or similar) |
| | 16.6.2 Proportion of the population satisfied with their last experience of public services |
| 16.7 Ensure responsive, inclusive, participatory and representative decision-making at all levels | 16.7.1 Proportions of positions (by sex, age, persons with disabilities and population groups) in public institutions (national and local legislatures, public service, and judiciary) compared to national distributions |
| | 16.7.2 Proportion of population who believe decision-making is inclusive and responsive, by sex, age, disability and population group |
| 16.8 Broaden and strengthen the participation of developing countries in the institutions of global governance | 16.8.1 Proportion of members and voting rights of developing countries in international organizations |
| 16.9 By 2030, provide legal identity for all, including birth registration | 16.9.1 Proportion of children under 5 years of age whose births have been registered with a civil authority, by age |
| 16.10 Ensure public access to information and protect fundamental freedoms, in accordance with national legislation and international agreements | 16.10.1 Number of verified cases of killing, kidnapping, enforced disappearance, arbitrary detention and torture of journalists, associated media personnel, trade unionists and human rights advocates in the previous 12 months |
| | 16.10.2 Number of countries that adopt and implement constitutional, statutory and/or policy guarantees for public access to information |

| Goal 17. Strengthen the mea | 16.a Strengthen relevant national institutions, including through international cooperation, for building capacity at all levels, in particular in developing countries, to prevent violence and combat terrorism and crime 16.b Promote and enforce non-discriminatory laws and policies for sustainable development uns of implementation and revitalize the Global Partnership for Sustainable Development | 16.a.1 Existence of independent national human rights institutions in compliance with the Paris Principles 16.b.1 Proportion of population reporting having personally felt discriminated against or harassed in the previous 12 months on the basis of a ground of discrimination prohibited under international human rights law |
|-----------------------------|---|--|
| | Finance | |
| | 17.1 Strengthen domestic resource mobilization, including through international support to developing countries, to improve domestic capacity for tax and other revenue collection | 17.1.1 Total government revenue as a proportion of GDP, by source |
| | | 17.1.2 Proportion of domestic budget funded by domestic taxes |
| | 17.2 Developed countries to implement fully their official development assistance commitments, including the commitment by many developed countries to achieve the target of 0.7 per cent of gross national income for official development assistance (ODA/GNI) to developing countries and 0.15 to 0.20 per cent of ODA/GNI to least developed countries; ODA providers are encouraged to consider setting a target to provide at least 0.20 per cent of ODA/GNI to least developed countries | 17.2.1 Net official development assistance, total and to least developed countries, as a proportion of the Organization for Economic Cooperation and Development (OECD)Development Assistance Committee donors' gross national income (GNI) |
| | 17.3 Mobilize additional financial resources for developing countries from multiple sources | 17.3.1 Foreign direct investments (FDI), official development assistance and South-South Cooperation as a proportion of total domestic budget 17.3.2 Volume of remittances (in United |
| | | States dollars) as a proportion of total GDP |
| | 17.4 Assist developing countries in attaining long-term debt sustainability through coordinated policies aimed at fostering debt financing, debt relief and debt restructuring, as appropriate, and address the external debt of highly indebted poor countries to reduce debt distress | 17.4.1 Debt service as a proportion of exports of goods and services |
| | 17.5 Adopt and implement investment promotion regimes for least developed countries | 17.5.1 Number of countries that adopt and implement investment promotion regimes for least developed countries |
| | Technology | |

| 17.6 Enhance North-South, South-South and triangular regional and international cooperation on and access to science, technology and innovation and enhance knowledge-sharing on mutually agreed terms, including through improved coordination among existing mechanisms, in particular at the United Nations level, and through a global technology facilitation mechanism | 17.6.1 Number of science and/or technology cooperation agreements and programmes between countries, by type of cooperation |
|---|--|
| | 17.6.2 Fixed Internet broadband subscriptions per 100 inhabitants, by speed |
| 17.7 Promote the development, transfer, dissemination and diffusion of environmentally sound technologies to developing countries on favourable terms, including on concessional and preferential terms, as mutually agreed | 17.7.1 Total amount of approved funding for developing countries to promote the development, transfer, dissemination and diffusion of environmentally sound technologies |
| 17.8 Fully operationalize the technology bank and science, technology and innovation capacity-building mechanism for least developed countries by 2017 and enhance the use of enabling technology, in particular information and communications technology Capacity-building | 17.8.1 Proportion of individuals using the Internet |
| 17.9 Enhance international support for implementing effective and targeted capacity-building in developing countries to support national plans to implement all the Sustainable Development Goals, including through North-South, South-South and triangular cooperation | 17.9.1 Dollar value of financial and technical assistance (including through North-South, South-South and triangular cooperation) committed to developing countries |
| Trade 17.10 Promote a universal, rules-based, open, non-discriminatory and equitable multilateral trading system under the World Trade Organization, including through the conclusion of negotiations under its Doha Development Agenda | 17.10.1 Worldwide weighted tariff-average |
| 17.11 Significantly increase the exports of developing countries, in particular with a view to doubling the least developed countries' share of global exports by 2020 | 17.11.1 Developing countries' and least developed countries' share of global exports |
| 17.12 Realize timely implementation of duty-free and quota-free market access on a lasting basis for all least developed countries, consistent with World Trade Organization decisions, including by ensuring that preferential rules of origin applicable to imports from least developed countries are transparent and simple, and contribute to facilitating market access | 17.12.1 Average tariffs faced by developing countries, least developed countries and small island developing States |
| Systemic issues Policy and institutional coherence 17.13 Enhance global macroeconomic stability, including through policy coordination and policy coherence | 17.13.1 Macroeconomic Dashboard |

| 17.14 Enhance policy coherence for sustainable development | 17.14.1 Number of countries with mechanisms in place to enhance policy coherence of sustainable development |
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| 17.15 Respect each country's policy space and leadership to establish and implement policies for poverty eradication and sustainable development | 17.15.1 Extent of use of country-owned results frameworks and planning tools by providers of development cooperation |
| Multi-stakeholder partnerships | |
| 17.16 Enhance the Global Partnership for Sustainable Development, complemented by multi-stakeholder partnerships that mobilize and share knowledge, expertise, technology and financial resources, to support the achievement of the Sustainable Development Goals in all countries, in particular developing countries | 17.16.1 Number of countries reporting progress in multi-stakeholder development effectiveness monitoring frameworks that support the achievement of the sustainable development goals |
| 17.17 Encourage and promote effective public, public-private and civil society partnerships, building on the experience and resourcing strategies of partnerships | 17.17.1 Amount of United States dollars committed to public-private and civil society partnerships |
| Data, monitoring and accountability | |
| 17.18 By 2020, enhance capacity-building support to developing countries, including for least developed countries and small island developing States, to increase significantly the availability of high-quality, timely and reliable data disaggregated by income, gender, age, race, ethnicity, migratory status, disability, geographic location and other characteristics relevant in national contexts | 17.18.1 Proportion of sustainable development indicators produced at the national level with full disaggregation when relevant to the target, in accordance with the Fundamental Principles of Official Statistics |
| | 17.18.2 Number of countries that have national statistical legislation that complies with the Fundamental Principles of Official Statistics |